ROBERTS DANIEL C JR

Form 4/A

February 07, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box

3235-0287 Number: January 31, Expires:

2005

OMB APPROVAL

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * ROBERTS DANIEL C JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(First) (Last)

(Middle)

FEDERAL TRUST CORP [FDT]

(Check all applicable)

312 WEST FIRST STREET

(State)

08/10/2004

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title __X_ Other (specify

08/10/2004

below) below)

Senior VP of Subsidiary

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

D

Applicable Line)

X Form filed by One Reporting Person 09/02/2004 Form filed by More than One Reporting

SANFORD, FL 32771

(City)

Trust Corp.

common Stock

(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

3,291 A \$ 24,906

	Table 1- Non-Derivative Securities Acquired, Disposed of, or Deficiently Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	Transaction(A) or Disposed of Code (D)			5. Amount of Securities Form: Dire Beneficially (D) or Owned Indirect (I) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Federal Trust Corp. common Stock	08/10/2004		J <u>(5)</u>	11,000	A	\$ 7.5	14,949	I	Self directed IRA
Federal Trust Corp. common Stock							8,996.644	I	Employee 401K Plan
Federal									

 $J^{(4)}$

Edgar Filing: ROBERTS DANIEL C JR - Form 4/A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

De

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock options	\$ 4					12/08/2002(1)	12/08/2011	Common stock	15,000
Stock Options	\$ 4					10/01/2003(2)	09/30/2012	Common stock	1,209
Stock Options	\$ 7.62					10/24/2004(3)	10/23/2013	Common stock	8,791

Reporting Owners

Reporting Owner Name / Address	Relationships
--------------------------------	---------------

Director 10% Owner Officer Other

ROBERTS DANIEL C JR 312 WEST FIRST STREET SANFORD, FL 32771

Senior VP of Subsidiary

Signatures

Daniel C. 02/04/2005 Roberts

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in 5 equal installments of 20% each commencing 12/8/2002

Reporting Owners 2

Edgar Filing: ROBERTS DANIEL C JR - Form 4/A

- (2) Exercisable in 5 equal installments of 20% each commencing 10/01/2003
- (3) Exercisable in 5 equal installments of 20% each commencing 10/24/2004
- (4) Share purchase from Secondary Stock Offering
- (5) Share purchase from Secondary Stock Offering

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.