CAPITAL ONE FINANCIAL CORP

Form 4 July 17, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

OMB APPROVAL

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| 1. Name and A FAIRBANK | ^ | _ | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|---------------------------|----------|----------|--|--|--|--|--|
| | | | CAPITAL ONE FINANCIAL CORP [COF] | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | _X_ Director 10% Owner _X_ Officer (give title Other (specify | | | |
| 1680 CAPITAL ONE DRIVE | | | 07/16/2007 | below) below) Chairman, CEO and President | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| MCLEAN, V | VA 22102 | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acq | quired, Disposed of, or Beneficially Owned | | | |
| 4 551 4 | 0.00 | D . 01 D | 1 0 10 11 | 5 4 | | | |

| (City) | (State) (| (Zip) Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | f, or Beneficial | ly Owned |
|--------------------------------------|---|-------------|--|--|------------|----------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. Transactio Code (Instr. 8) | 4. Securities Acquired on (A) or Disposed of (E) (Instr. 3, 4 and 5) | | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common | 07/16/2007 | | Code V | Amount 100 | (A) or (D) | Price \$ | Transaction(s) (Instr. 3 and 4) 2,211,077 | D | |
| Stock (1) (2) Common Stock (1) | 07/16/2007 | | S | 100 | D | 76.07 \$ 76.08 | 2,210,977 | D | |
| Common Stock (1) | 07/16/2007 | | S | 300 | D | \$ 76.09 | 2,210,677 | D | |
| Common Stock (1) | 07/16/2007 | | S | 300 | D | \$ 76.1 | 2,210,377 | D | |
| Common Stock (1) | 07/16/2007 | | S | 500 | D | \$ 76.11 | 2,209,877 | D | |

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| Common Stock (1) | 07/16/2007 | S | 500 | D | \$ 76.12 | 2,209,377 | D |
|------------------|------------|---|-----|---|-------------|-----------|---|
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.13 | 2,209,277 | D |
| Common Stock (1) | 07/16/2007 | S | 200 | D | \$ 76.14 | 2,209,077 | D |
| Common Stock (1) | 07/16/2007 | S | 300 | D | \$ 76.15 | 2,208,777 | D |
| Common Stock (1) | 07/16/2007 | S | 39 | D | \$ 76.16 | 2,208,738 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.17 | 2,208,638 | D |
| Common Stock (1) | 07/16/2007 | S | 300 | D | \$ 76.19 | 2,208,338 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.23 | 2,208,238 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.24 | 2,208,138 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.27 | 2,208,038 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.31 | 2,207,938 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.38 | 2,207,838 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.39 | 2,207,738 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.4 | 2,207,638 | D |
| Common Stock (1) | 07/16/2007 | S | 200 | D | \$ 76.44 | 2,207,438 | D |
| Common Stock (1) | 07/16/2007 | S | 200 | D | \$ 76.45 | 2,207,238 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.49 | 2,207,138 | D |
| Common Stock (1) | 07/16/2007 | S | 200 | D | \$ 76.5 | 2,206,938 | D |
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.51 | 2,206,838 | D |
| Common Stock (1) | 07/16/2007 | S | 200 | D | \$ 76.52 | 2,206,638 | D |
| | 07/16/2007 | S | 100 | D | | 2,206,538 | D |

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| Common Stock (1) | | | | | \$ 76.53 | | | |
|------------------|------------|---|-----|---|-------------|-----------|---|--------------------------|
| Common Stock (1) | 07/16/2007 | S | 100 | D | \$ 76.56 | 2,206,438 | D | |
| Common Stock (1) | 07/16/2007 | S | | | | | D | |
| Common Stock | | | | | | 107,502 | I | By Fairbank Morris |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (Instr. | ection 8) | 5. aNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | rlying | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---|---------------------------------|--------------|--|---------------------|--------------------|-----------------------|--|---|
| | | | | Code | v | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Relationships

Date

Reporting Owners

Departing Owner Name / Address

**Signature of Reporting Person

| Reporting Owner Name / Address | | | | |
|--|-----------------|-----------|-----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| FAIRBANK RICHARD D 1680 CAPITAL ONE DRIVE MCLEAN, VA 22102 | X | | Chairman, CEO and President | |
| Signatures | | | | |
| /s/ Tangela S. Richter (POA) on fi Fairbank | ile for Richard | d D. | 07/17/2007 | |

Reporting Owners 3

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a trading plan entered into by the Reporting Person on February 1, 2007, in accordance with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Due to SEC rules limiting the number of non-derivative transactions that can be reported on a single Form 4, this Form 4 is a continuation of the Form 4 filed for the same date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.