Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

INTERNATIONAL BUSINESS MACHINES CORP

Form 4 July 25, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

ARMONK, NY 10504

05/31/2011

1(b).

(Last)

Stock

1. Name and Address of Reporting Person * MILLS STEVEN A

(First)

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

3. Date of Earliest Transaction

(Month/Day/Year) 07/22/2011

IBM CORPORATION, ONE NEW ORCHARD ROAD

(Middle)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Director 10% Owner Other (specify X_ Officer (give title below)

Senior Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

Owned

Following

Reported

123,419.8713

X Form filed by One Reporting Person Form filed by More than One Reporting

Direct (D)

or Indirect

(I)

Ownership

(Instr. 4)

(City)	(State)	(Zip) Ta	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially O							
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature			
Security	(Month/Day/Year)	Execution Date, if	Transactio	nor Disposed of (D)	Securities	Ownership	of Indirect			
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial			

		Code	V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)
Common Stock	05/31/2011	G	V	10	D	\$ 0	123,429.8713	D
Common	05/21/2011	C	1 7	10	D	¢ 0	122 410 9712	D

V 10

\$0

(Instr. 8)

Common Stock	05/31/2011	G	V 10	D	\$ 0	123,409.8713 D

Common Stock	05/31/2011	G	V 20	D	\$ 0	123,389.8713	D
~							

Common 05/31/2011 V 20 \$0 123,369.8713 D Stock

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Common Stock	05/31/2011	G	V	120	D	\$ 0	123,249.8713	D
Common Stock	07/22/2011	M		11,804	A	\$ 82.76	135,053.8713	D
Common Stock	07/22/2011	M		26,120	A	\$ 91.04	161,173.8713	D
Common Stock	07/22/2011	M		4,523	A	\$ 92.12	165,696.8713	D
Common Stock	07/22/2011	M		49,647	A	\$ 101.33	215,343.8713	D
Common Stock	07/22/2011	M		12,411	A	\$ 102.8	227,754.8713	D
Common Stock	07/22/2011	S		76,505	D	\$ 185	151,249.8713	D
Common Stock	07/22/2011	S		100	D	\$ 185.001	151,149.8713	D
Common Stock	07/22/2011	S		100	D	\$ 185.005	151,049.8713	D
Common Stock	07/22/2011	S		14,471	D	\$ 185.01	136,578.8713	D
Common Stock	07/22/2011	S		100	D	\$ 185.015	136,478.8713	D
Common Stock	07/22/2011	S		2,079	D	\$ 185.02	134,399.8713	D
Common Stock	07/22/2011	S		1,750	D	\$ 185.03	132,649.8713	D
Common Stock	07/22/2011	S		1,100	D	\$ 185.04	131,549.8713	D
Common Stock	07/22/2011	S		1,200	D	\$ 185.05	130,349.8713	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. 7	Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount o
Dei	rivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities
Sec	urity	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Ins	str. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

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	Derivative Security				(D)	sposed of : 3, 4,				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Emp. Stock Option (right to buy)	\$ 101.33	07/22/2011	M			49,647	03/08/2009(1)	03/07/2015	Common Stock	49,64
Emp. Stock Option (right to buy)	\$ 91.04	07/22/2011	M			26,120	05/08/2010(1)	05/07/2016	Common Stock	26,120
Emp. Stock Option (right to buy)	\$ 92.12	07/22/2011	M			4,523	03/08/2008(2)	03/07/2015	Common Stock	4,523
Emp. Stock Option (right to buy)	\$ 82.76	07/22/2011	M			11,804	05/08/2009(2)	05/07/2016	Common Stock	11,804
Emp. Stock Option (right to buy)	\$ 102.8	07/22/2011	M			12,411	05/08/2010(2)	05/07/2017	Common Stock	12,411

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
MILLS STEVEN A IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504			Senior Vice President						
Signatures									
D. Cummins on behalf of S. A. Mills	0′	7/25/2011							
**Signature of Reporting Person		Date							

Reporting Owners 3

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This grant vested in four equal annual installments; the last installment vested on the date shown above.
- (2) This grant vested in one installment on the date shown above.

Remarks:

Additional transactions will be shown on a subsequent Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.