#### QUAKER CHEMICAL CORP

Form 4

November 05, 2013

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*\*
PLATZER L WILBERT

2. Issuer Name **and** Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

5. Relationship of Reporting Person(s) to Issuer

QUAKER CHEMICAL CORP [KWR]

(Check all applicable)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 11/01/2013 \_\_\_\_ Director \_\_\_\_ 10% Owner \_\_\_\_ Other (specify below) below)

VP & Managing Dtr - EMEA

QUAKER CHEMICAL CORPORATION, ONE QUAKER PARK, 901 E. HECTOR STREET

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

#### CONSHOHOCKEN, PA 19428-2380

(Street)

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/01/2013		S	3,000	D	\$ 75	13,395	D	
Common Stock	11/01/2013		M	1,996	A	\$ 37.37	15,391	D	
Common Stock	11/01/2013		S	1,092	D	\$ 73.2493	14,299	D	
Common Stock	11/01/2013		S	904	D	\$ 74.1224	13,395	D	

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					(2)		
Common Stock	11/01/2013	M	896	A	\$ 38.13	14,291	D
Common Stock	11/01/2013	S	896	D	\$ 74.7446 (3)	13,395	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 37.37	11/01/2013		M	1,996	<u>(4)</u>	03/01/2018	Common Stock	1,996
Employee Stock Option (right to buy)	\$ 38.13	11/01/2013		M	896	<u>(5)</u>	03/06/2019	Common Stock	896

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

PLATZER L WILBERT QUAKER CHEMICAL CORPORATION ONE QUAKER PARK, 901 E. HECTOR STREET VP & Managing Dtr - EMEA

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CONSHOHOCKEN, PA 19428-2380

### **Signatures**

Irene M. Kisleiko, Attorney-in-Fact for Wilbert Platzer

11/05/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$72.63 to \$73.61, inclusive. The reporting person undertakes to provide to Quaker Chemical Corporation ("Quaker"), any security holder of Quaker, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price with the ranges set forth in footnote (1) to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$73.67 to \$74.37, inclusive. The reporting person undertakes to provide to Quaker Chemical Corporation ("Quaker"), any security holder of Quaker, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price with the ranges set forth in footnote (2) to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$74.37 to \$74.98, inclusive. The reporting person undertakes to provide to Quaker Chemical Corporation ("Quaker"), any security holder of Quaker, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price with the ranges set forth in footnote (3) to this Form 4.
- (4) Stock options under this grant exercisable in three annual installments of 998 options on March 1, 2012, 998 options on March 1, 2013 and 997 options on March 1, 2014.
- (5) Stock options under this grant exercisable in three annual installments of 896 options on March 6, 2013, 896 options on March 6, 2014, and 895 options on March 6, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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