

Crocs, Inc.

Form 3

January 29, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Ribatt Gregg

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/27/2014

3. Issuer Name and Ticker or Trading Symbol  
Crocs, Inc. [CROX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

29 HILLTOP ROAD

(Street)

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

CHESTNUT

HILL, MA 02467

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable      Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title      Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect (I)  
(Instr. 5)6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: Crocs, Inc. - Form 3

Series A Convertible Preferred Stock <sup>(1)</sup>      <sup>(2)</sup>      <sup>(2)</sup>      Common Stock      68,965.5 <sup>(2)</sup>      \$ 14.5 <sup>(2)</sup>      D      <sup>(2)</sup>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ribatt Gregg 29 HILLTOP ROAD CHESTNUT HILL, MA 02467	<sup>(2)</sup> X	<sup>(2)</sup>	<sup>(2)</sup>	<sup>(2)</sup>

## Signatures

/s/ Gregg S. Ribatt      01/29/2014

<sup>(2)</sup>Signature of Reporting Person      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Mr. Ribatt, a senior advisor of Blackstone Management Partners L.L.C., which is affiliated with The Blackstone Group L.P. ("Blackstone"), is a member of the board of directors of Crocs, Inc. (the "Issuer"). Certain affiliates of Blackstone have filed a separate
- (1) Form 3 reporting securities of the Issuer that they may be deemed to beneficially own. Mr. Ribatt disclaims beneficial ownership of any securities of the Issuer that may be deemed to be beneficially owned by affiliates of Blackstone.
- The number of common stock of the Issuer, having par value of \$0.001 per share (the "Common Stock") deliverable upon conversion of each share of Series A Convertible Preferred Stock, par value \$0.001 per share (the "Preferred Stock") is equal to 68.9655 shares, subject to customary anti-dilution and other adjustments. The Preferred Stock is convertible at any time and has no expiration date. The Issuer may mandatorily convert the Preferred Stock into Common Stock after January 27, 2017, if certain conditions are met.

<sup>(2)</sup>

**Remarks:**  
No <sup>(2)</sup> securities <sup>(2)</sup> are <sup>(2)</sup> beneficially <sup>(2)</sup> owned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.