

6D Global Technologies, Inc
Form 3
May 06, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Timchuk Bradley N
(Last) (First) (Middle)

C/O 6D GLOBAL
TECHNOLOGIES, INC., 17
STATE STREET, SUITE 2550

(Street)

NEW YORK, NY 10004

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
04/26/2016

3. Issuer Name and Ticker or Trading Symbol
6D Global Technologies, Inc [SIXD]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President and COO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to purchase)	Â (1)	05/02/2026	Common Stock	200,000	\$ 0.2	D	Â
Stock Option (right to purchase)	Â (2)	01/18/2026	Common Stock	3,000	\$ 2.9	I	By spouse
Stock Option (right to purchase)	Â (3)	04/13/2025	Common Stock	7,500	\$ 8.72	I	By spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Timchuk Bradley N C/O 6D GLOBAL TECHNOLOGIES, INC. 17 STATE STREET, SUITE 2550 NEW YORK, NY 10004	Â	Â	Â President and COO	Â

Signatures

/s/ Bradley
Timchuk 05/04/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on May 2, 2016 pursuant to 6D Global Technologies, Inc.'s 2015 Employee Stock Option Plan and will vest annually in five equal annual installments on the anniversary of the grant date.
- (2) The options were granted on January 18, 2016 pursuant to 6D Global Technologies, Inc.'s 2015 Employee Stock Option Plan and will vest annually in five equal annual installments on the anniversary of the grant date.
- (3) The options were granted on April 13, 2015 pursuant to 6D Global Technologies, Inc.'s 2015 Employee Stock Option Plan. The options vest annually in five equal annual installments on the anniversary of the grant date, with the first of the five installments having vested on April 13, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.