### Edgar Filing: CARDIOGENESIS CORP /CA - Form 3

#### CARDIOGENESIS CORP/CA

Form 3 May 23, 2005

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CARDIOGENESIS CORP /CA [CGCP] McIntyre John (Month/Day/Year) 05/20/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 26632 TOWNE CENTRE (Check all applicable) DR., Â SUITE 320 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP, Research & Development Person **FOOTHILL** Form filed by More than One RANCH. CAÂ 92610 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

SEC 1473 (7-02)

4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D

99,104

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|--|--|--|------------------------------------|---------------------------------|--|
|  |  | Title  | Derivative<br>Security             | Security:<br>Direct (D)         |  |

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|                             | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |         | or Indirect (I) (Instr. 5) |   |
|-----------------------------|---------------------|--------------------|-----------------|----------------------------------|---------|----------------------------|---|
| Stock Option (Right to Buy) | (1)                 | 02/22/2012         | Common<br>Stock | 25,000                           | \$ 0.81 | D                          | Â |
| Stock Option (Right to Buy) | (2)                 | 06/13/2012         | Common<br>Stock | 7,500                            | \$ 0.84 | D                          | Â |
| Stock Option (Right to Buy) | 01/07/2003          | 01/07/2013         | Common<br>Stock | 20,000                           | \$ 0.32 | D                          | Â |
| Stock Option (Right to Buy) | (3)                 | 06/24/2013         | Common<br>Stock | 7,500                            | \$ 0.7  | D                          | Â |
| Stock Option (Right to Buy) | (4)                 | 02/26/2014         | Common<br>Stock | 7,500                            | \$ 1.03 | D                          | Â |
| Stock Option (Right to Buy) | 01/14/2005          | 01/14/2015         | Common<br>Stock | 10,000                           | \$ 0.54 | D                          | Â |
| Stock Option (Right to Buy) | (5)                 | 03/14/2015         | Common<br>Stock | 25,000                           | \$ 0.63 | D                          | Â |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                               |       |  |
|--|---------------|-----------|-------------------------------|-------|--|
| coporting of their states, states,   | Director      | 10% Owner | Officer                       | Other |  |
| McIntyre John<br>26632 TOWNE CENTRE DR.<br>SUITE 320<br>FOOTHILL RANCH, CA 92610 | Â             | Â         | VP, Research<br>& Development | Â     |  |

## **Signatures**

| /s/ John P.    | 05/23/2005 |
|----------------|------------|
| McIntyre       | 03/23/2003 |
| **Signature of | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares subject to the option vest 1/36th per month through February 22, 2005.
- (2) Shares subject to the option vest 1/18th per month through December 13, 2003.
- (3) Shares subject to the option vest 1/36th per month through June 24, 2006.
- (4) Shares subject to the option vest 1/24th per month through February 26, 2006.
- (5) Shares subject to the option vest 1/36th per month through March 14, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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