

CRAIG IAN S

Form 3

January 31, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

CRAIG IAN S

(Last) (First) (Middle)

701 MARKET STREET

(Street)

ST. LOUIS, MO 63101

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/23/2006

3. Issuer Name and Ticker or Trading Symbol
PEABODY ENERGY CORP [BTU]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Grp. VP - Australian Ops.

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

5,135 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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| | | | | Shares | | (I) (Instr. 5) | |
|--|---------------------------|------------------|-----------------|--------------------------|----------------------------------|-------------------|---|
| Employee Stock Option (right to buy) | 11/19/2007 | 05/19/2008 | Common Stock | 15,226 | \$ 7.145 | D | Â |
| Employee Stock Option (right to buy) | 07/01/2010 | 01/01/2011 | Common Stock | 36,396 | \$ 7.145 | D | Â |
| Employee Stock Option (right to buy) | 01/02/2006 | 01/02/2013 | Common Stock | 3,916 | \$ 14.595 | D | Â |
| Employee Stock Option (right to buy) | 01/02/2006 ⁽²⁾ | 01/02/2014 | Common Stock | 5,612 | \$ 20.975 | D | Â |
| Employee Stock Option (right to buy) | 01/03/2006 ⁽³⁾ | 01/03/2015 | Common Stock | 5,636 | \$ 38.655 | D | Â |
| Employee Stock Option (right to buy) | 01/03/2009 | 01/03/2016 | Common Stock | 7,566 | \$ 86.2 | D | Â |
| Employee Stock Option (right to buy) | 01/03/2007 ⁽⁴⁾ | 01/03/2016 | Common Stock | 7,337 | \$ 86.2 | D | Â |
| Employee Stock Option (right to buy) | 01/01/2010 ⁽⁵⁾ | 07/01/2010 | Common Stock | 33,720 | \$ 7.145 | D | Â |
| Deferred Compensation Plan ? Common Stock Fund Units | Â ⁽⁶⁾ | Â ⁽⁶⁾ | Common Stock | 26,379 ⁽⁷⁾ | \$ ⁽⁶⁾ ⁽⁷⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| CRAIG IAN S 701 MARKET STREET ST. LOUIS, MO 63101 | Â | Â | Â Grp. VP - Australian Ops. | Â |

Signatures

Ian S. Craig By: Bryan L. Sutter,
Attorney-in-Fact 01/31/2006

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,135 shares acquired under the Company's Employee Stock Purchase Plan.
- (2) Of the 5,612 options, 2,805 vested on January 2, 2006 and 2,807 vest on January 2, 2007.
- (3) Of the 5,636 options, 1,879 vested on January 3, 2006, 1,878 vest on January 3, 2007 and 1,879 vest on January 3, 2008.
- (4) Of the 7,337 options, 2,446 vest on January 3, 2007, 2,445 vest on January 3, 2008 and 2,446 vest on January 3, 2009.
- (5) Of the 33,720 options, 5,750 vest on January 1, 2010 and 27,970 vest on January 1, 2010.

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- The units represent compensation deferred under the Peabody Energy Corporation Deferred Compensation Plan (the "Plan") which are
- (6) allocated to the Peabody Energy Corporation Common Stock Fund investment alternative and are to be settled in cash upon the reporting person's following termination of employment or retirement, subject to early withdrawal in certain specified events.

- Because the Peabody Energy Corporation Common Stock Fund investment alternative of the Plan is accounted by the plan administrator
- (7) using unit accounting, the number of shares varies based on the closing price of Peabody Energy Corporation Common Stock on the applicable measurement date. Information reflects share value on January 27, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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