#### MARCUS STUART L

Form 4

March 07, 2012

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

Expires:

3235-0287

**OMB APPROVAL** 

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

MARCUS STUART L

DUSA PHARMACEUTICALS INC

(Check all applicable)

[DUSA]

(Last)

(City)

Common

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

VP, Sc. Aff & CMO

C/O DUSA PHARMACEUTICALS.

(State)

(First)

03/05/2012 INC., 25 UPTON DRIVE

(Zip)

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WILMINGTON, MA 01887

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) Following (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

1.353 F D

(1)

 $69,052 \stackrel{(2)}{=}$ D

03/05/2012 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(A)

or

4.65

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: MARCUS STUART L - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc       | cisable and     | 7. Title a         | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|-----------------|--------------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D        | ate             | Amount             | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/         | Year)           | Underlyi           | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                   |                 | Securitie          | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                     |                 | (Instr. 3          | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                     |                 |                    |        |             | Follo  |
|             | •           |                     |                    |            | (A) or     |                     |                 |                    |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |                     |                 |                    |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |                     |                 |                    |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                     |                 |                    |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |                     |                 |                    |        |             |        |
|             |             |                     |                    |            |            |                     |                 | Α.                 |        |             |        |
|             |             |                     |                    |            |            |                     |                 |                    | mount  |             |        |
|             |             |                     |                    |            |            | Date<br>Exercisable | Expiration Date | or<br>Title Number |        |             |        |
|             |             |                     |                    |            |            |                     |                 |                    |        |             |        |
|             |             |                     |                    |            |            |                     |                 | of                 |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                     |                 | Sł                 | hares  |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                         |       |  |  |  |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| 1   | Director      | 10% Owner | Officer                 | Other |  |  |  |
| MARCUS STUART L<br>C/O DUSA PHARMACEUTICALS, INC.<br>25 UPTON DRIVE<br>WILMINGTON, MA 01887 |               |           | VP, Sc.<br>Aff &<br>CMO |       |  |  |  |

## **Signatures**

Reporting Person

/s/ Stuart L.
Marcus

\*\*Signature of Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent payment of withholding tax liability incident to the vesting of restricted stock granted March 5, 2010.
- (2) Includes Stock Awards which vest at the rate of one-quarter of the total grant on each of the first, second, third and fourth anniversaries of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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