### Edgar Filing: FITZPATRICK MICHAEL J - Form 4

#### FITZPATRICK MICHAEL J

Form 4

February 20, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

| FITZPATRICK MICHAEL J Syr            |                                      |  | NFIRST FIN  |                           | Ü          | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |   |  |
|--------------------------------------|--------------------------------------|--|---|---------------------------|------------|--|--|---|--|
| (Last) 975 HOOPI                     | (First) (M                           | (Month   | 3. Date of Earliest Transaction (Month/Day/Year) 02/15/2013 |                           |            | Director 10% Owner _X Officer (give title Other (specify below)  Executive Vice President & CFO                    |  |   |  |
|                                      | (Street)                             |  | 4. If Amendment, Date Original Filed(Month/Day/Year)        |                           |            | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |
| TOMS RIV                             | ER, NJ 08754                         |  |   |                           |            | Person   | More than One R  | Reporting   |  |
| (City)                               | (State)                              | Zip) Ta  | ble I - Non-Deri  | ivative Sec               | urities Ac | quired, Disposed   | of, or Beneficia   | ally Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>any<br>(Month/Day/Yea | f Transaction <sup>A</sup> Code I r) (Instr. 8) (           | Disposed of Instr. 3, 4 a | (D)        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 02/15/2013                           |  | A 1   | 1,529 A                   | \$ 0       | 105,660  | D (1)  |   |  |
| Common<br>Stock                      |                                      |  |   |                           |            | 2,352  | I  | By 401(k)   |  |
| Common<br>Stock                      |                                      |  |   |                           |            | 63,861   | I  | By Esop (2)   |  |
| Common<br>Stock                      |                                      |  |   |                           |            | 5,403  | I  | By<br>Matching  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

ESOP (2) (3)

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number orDerivative Securities Acquired (a or Disposed (D) (Instr. 3, 4, and 5) | A)<br>d of | 6. Date Exerci<br>Expiration Da<br>(Month/Day/Y | te                 | 7. Title and A Underlying S (Instr. 3 and | Securities                          |
|---|---|---|---|---------------------------------------|--|------------|---|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                | (A)  | (D)        | Date<br>Exercisable                             | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 14.62  | 02/15/2013                              |   | A                                     | 22,500   |            | 02/15/2014                                      | 02/15/2023         | Common<br>Stock                           | 22,500                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 23.44  |   |   |                                       |  |            | 05/30/2004                                      | 05/30/2013         | Common<br>Stock                           | 33,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 22.525   |   |   |                                       |  |            | 05/28/2005                                      | 05/28/2014         | Common<br>Stock                           | 30,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 23.07  |   |   |                                       |  |            | 01/19/2006                                      | 01/19/2015         | Common<br>Stock                           | 1,320                               |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 20.795   |   |   |                                       |  |            | 04/20/2006                                      | 04/20/2015         | Common<br>Stock                           | 1,464                               |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 23.475   |   |   |                                       |  |            | 02/15/2007                                      | 02/15/2016         | Common<br>Stock                           | 30,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 22.17  |   |   |                                       |  |            | 02/21/2008                                      | 02/21/2017         | Common<br>Stock                           | 20,250                              |

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| Stock<br>Option<br>(Right to<br>Buy) | \$ 16.81 | 02/20/2009 | 02/20/2018 | Common<br>Stock | 21,263 |
|--------------------------------------|----------|------------|------------|-----------------|--------|
| Stock<br>Option<br>(Right to<br>Buy) | \$ 10    | 02/17/2011 | 02/17/2020 | Common<br>Stock | 29,770 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 13.87 | 02/18/2012 | 02/18/2021 | Common<br>Stock | 30,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 13.83 | 02/15/2013 | 02/15/2022 | Common<br>Stock | 30,000 |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |

FITZPATRICK MICHAEL J 975 HOOPER AVENUE TOMS RIVER, NJ 08754

Executive Vice President & CFO

# **Signatures**

/s/ Steven J. Tsimbinos, Power of Attorney 02/19/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares awarded vest in five equal annual installments beginning on March 1, 2013. Total includes other unvested restricted stock.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) Represents shares acquired under the OceanFirst Bank Matching Contribution Employee Stock Ownership Plan established as part of a spin-off from the OceanFirst Employee Stock Ownership Plan effective December 27, 2006
- (4) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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