#### GENERAL DYNAMICS CORP

Form 4 June 04, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

0.5

**OMB APPROVAL** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

Hugh Redd

GENERAL DYNAMICS CORP

(Check all applicable)

Sr. V. P. & CFO

5. Relationship of Reporting Person(s) to

[GD]

(Last) (First) 3. Date of Earliest Transaction

Director X\_ Officer (give title

10% Owner \_ Other (specify

(Month/Day/Year) 05/31/2013

C/O GENERAL DYNAMICS CORPORATION, 2941 FAIRVIEW

PARK DRIVE, SUITE 100

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street)

(Middle)

Filed(Month/Day/Year)

4. If Amendment, Date Original

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FALLS CHURCH, VA 22042

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	Securi	ties Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securitie oner Disposed (Instr. 3, 4	d of (E and 5) (A) or	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1.00 par value	05/31/2013		Code V  M	Amount 114,600	(D)	Price \$ 40.09	222,408	D	
Common Stock, \$1.00 par value	05/31/2013		S	112,106	D	\$ 78.065 (1)	110,302	D	
Common Stock,	05/31/2013		F	1,282	D	\$ 77.94	109,020	D	

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\$1.00 par value		
Common Stock, \$1.00 par value	11,710 I	Trust in Wife's Name
Common Stock, \$1.00 par	15,402.997 (2) I	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secu Acqu Disp	umber of vative rities uired (A) or osed of (D) r. 3, 4, and	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 40.09	05/31/2013		M		114,600	<u>(3)</u>	03/03/2014	Common Stock	114,600

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
comporting of the control of the con	Director	10% Owner	Officer	Other		
Hugh Redd						
C/O GENERAL DYNAMICS CORPORATION			Sr. V. P. & CFO			
2941 FAIRVIEW PARK DRIVE, SUITE 100			Sr. v. P. & Cro			
FALLS CHURCH, VA 22042						

# **Signatures**

value

Neal Wheeler, by power of attorney	06/04/2013
**Signature of Reporting Person	Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale prices ranged from \$77.99 to \$78.28. Reporting Person will provide, upon request by the Commission staff, General Dynamics, or a General Dynamics shareholder, full information regarding the number of shares sold at each separate sales price.
- (2) Includes share activity under General Dynamics 401(k) plan since date of reporting person's last ownership report.
- (3) Fifty percent became exercisable 3/4/10 and the remaining fifty percent became exercisable 3/4/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.