FreightCar America, Inc. Form 4 January 17, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

January 31, Expires: 2005

3235-0287

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McCarthy Thomas P Issuer Symbol

> FreightCar America, Inc. [RAIL] (Check all applicable)

(First) (Middle) (Last) 3. Date of Earliest Transaction

> (Month/Day/Year) Director 10% Owner X_ Officer (give title Other (specify 01/15/2014 below) below)

TWO NORTH RIVERSIDE PLAZA **SUITE 1300**

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting Person

CHICAGO, IL 60606

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)

> Reported (A) Transaction(s) or

(Instr. 3 and 4) Code V Amount (D) Price

Common 6,636 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (or Dispose (D) (Instr. 3, 4 and 5) | (A) ed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--------------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 25.55 | 01/15/2014 | | A(1) | 13,325 | | (2) | 01/15/2024 | Common stock | 13,325 |
| Employee Stock Option (right to buy) | \$ 24.56 | | | | | | <u>(4)</u> | 01/18/2023 | Common stock | 6,700 |
| Employee Stock Option (right to buy) | \$ 23.4 | | | | | | <u>(5)</u> | 01/12/2022 | Common stock | 13,700 |
| Employee Stock Option (right to buy) | \$ 29.88 | | | | | | <u>(6)</u> | 01/13/2021 | Common stock | 10,500 |
| Employee Stock Option (right to buy) | \$ 20.69 | | | | | | <u>(7)</u> | 02/23/2020 | Common stock | 7,250 |
| Employee Stock Option (right to buy) | \$ 30.47 | | | | | | (8) | 01/13/2018 | Common stock | 10,540 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | |
|--------------------------------|----------|---------------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |

Reporting Owners 2

McCarthy Thomas P TWO NORTH RIVERSIDE PLAZA SUITE 1300 CHICAGO, IL 60606

SVP, Human Resources

Signatures

/s/ Kathleen M. Boege, as attorney in fact

01/17/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exempt issuance of options under Rule 16(b)-3 pursuant to the Issuer's 2005 Long Term Incentive Plan
- (2) The options vest in three equal annual installments beginning on January 15, 2015.
- (3) The options were granted pursuant to the Issuer's 2005 Long term Incentive Plan, for which no consideration was paid by the recipient.
- (4) On January 18, 2013 the recipient was granted 6,700 options which vest in three equal annual installments beginning on January 18, 2014.
- (5) On January 12, 2012, the recipient was granted 13,700 options. 9,132 stock options are fully vested and currently exercisable and 4,568 stock options will vest on January 12, 2015.
- (6) On January 13, 2011, the recipient was granted 10,500 options which are fully vested and currently exercisable.
- (7) On February 23, 2010, the recipient was granted 7,250 options which are fully vested and currently exercisable.
- (8) On January 13, 2008, the recipient was granted 10,540 options which are fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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