Edgar Filing: WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP - Form 4

WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP

Form 4

August 03, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Cox R Mark

2. Issuer Name and Ticker or Trading

Symbol

WESTINGHOUSE AIR BRAKE

5. Relationship of Reporting Person(s) to

Issuer

TECHNOLOGIES CORP [WAB]

(Check all applicable)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

07/31/2015

Filed(Month/Day/Year)

Director 10% Owner Other (specify _X__ Officer (give title

below) Sr. VP - Corporate Development

1001 AIR BRAKE AVENUE

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

Person

WILMERDING, PA 15148

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivativ	e Seci	ırities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - Direct	07/31/2015		Code V M	Amount 1,125	(D)	Price \$ 28.695	52,980	D	
Common Stock - Direct	07/31/2015		M	3,780	A	\$ 35.293	56,760	D	
Common Stock - Direct	07/31/2015		M	1,960	A	\$ 48.29	58,720	D	
Common Stock -	07/31/2015		S	6,865	D	\$ 101.7084	51,855	D	

(1) Direct

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secur Acqu (A) o Dispo (D)	rities ired or osed of 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option	\$ 28.695	07/31/2015		M		1,125	02/15/2015	02/15/2021	Common Stock	O
Non-Qualified Stock Options	\$ 35.2925	07/31/2015		M		3,780	02/14/2016	02/14/2022	Common Stock	O
Non-Qualified Stock Option	\$ 48.29	07/31/2015		M		1,960	03/01/2017	02/12/2023	Common Stock	O

Reporting Owners

Reporting Owner Name / Address	Relationships							
1 0	Director	10% Owner	Officer	Other				
Cox R Mark 1001 AIR BRAKE AVENUE WILMERDING, PA 15148			Sr. VP - Corporate Development					
Signatures								
David L. DeNinno/POA for R. Mark Cox		08/03/2015						
**Signature of Reporting Person		Date						

Reporting Owners 2

Edgar Filing: WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The price reported in Column 4 is a weighted average price. The reporting person undertakes to provide to Westinghouse Air Brake
- (1) Technologies Corporation ("Wabtec"), any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.