Edgar Filing: BROOKS AUTOMATION INC - Form 4

| | UTOMATION | INC | | | | | | | | | | |
|---|---------------------------------|-------------|---------------------|--|--------|------------------|------------------------|--|---|-----------------|-----------|--|
| Form 4 | 015 | | | | | | | | | | | |
| August 13, 2015 | | | | | | | | | OMB A | OMB APPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | | |
| Check this box if no longer subject to Section 16. Form 4 or | | | | | JRI | TIES | | Expires:January 3 200Estimated average burden hours per response0 | | | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | inue. Section 17 | (a) of the | | ility H | oldi | ing Com | pany | Act o | ge Act of 1934, f 1935 or Sectio 40 | n | | |
| (Print or Type F | Responses) | | | | | | | | | | | |
| | | | 2. Issuer Symbol | Name a | nd ' | Ticker or T | Fradin | g | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | BROOKS AUTOMATION INC [BRKS] | | | | | | (Check all applicable) | | | | | |
| (Last)(First)(Middle)3. Date o (Month/EC/O BROOKS AUTOMATION, INC., 15 ELIZABETH DRIVE08/11/2 | | | | - | | | | | X_ Director 10% Owner Officer (give title Other (specify below) | | | |
| | | | | ndment, Date Original nth/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| CHELMSFORD, MA 01824 | | | | | | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table | e I - Nor | 1-De | erivative S | ecuri | ties Aco | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year) | | on Date, if | | | | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | Code | | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 08/11/2015 | | | G | | 450 | D | \$ 0 (1) | 56,423 | D | | |
| Common Stock | 08/12/2015 | | | G | V | 100 | D | \$ 0 (2) | 56,323 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | | | | | | | |
|--|----------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| ALLEN A CLINTON C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Jason W. Joseph, attorney-in-fact for Allen | on | 08/13/ | 2015 | | | | | |
| <u>**</u> Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person gifted 450 shares of Brooks Automation, Inc. stock to a not-for-profit organization for no consideration.
- (2) The reporting person gifted 100 shares of Brooks Automation, Inc. stock to family member not residing with the reporting person for no consideration. The reporting person disclaims beneficial ownership of and pecuniary interest in such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.