Main Street Capital CORP Form 4 July 22, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

Expires: 2005 Estimated average

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *Lane Brian E.		Symbol	r Name <b>and</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
		Main St	reet Capital CORP [MAIN]	(Check all applicable)			
(Last)	(First) (Mide	ddle) 3. Date of	f Earliest Transaction	, 11			
		(Month/D	· · ·	_X_ Director 10% Owner			
1300 POST 800	OAK BLVD, SUIT	ΓE 07/15/2	016	Officer (give title below) Other (specify below)			
	(Street)	4. If Ame	endment, Date Original	6. Individual or Joint/Group Filing(Check			
		Filed(Mor	nth/Day/Year)	Applicable Line)			
HOUSTON	I, TX 77056		_X_ Form filed by One Reporting Perso Form filed by More than One Repo Person				
(City)	(State) (Zi	ip) Tabl	e I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1.Title of	2. Transaction Date 2.	2A. Deemed	3. 4. Securities Acquired	5. Amount of 6. 7. Nature of			
Security	(Month/Day/Year) E	Execution Date, if	Transaction(A) or Disposed of (D)	Securities Ownership Indirect			
(Instr. 3)		nny Month/Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)	Beneficially Form: Direct Beneficial Owned (D) or Ownership			
	(1	Wioliul/Day/Tear)	(Ilisti. 6)	Following Indirect (I) (Instr. 4)			
			(A)	Reported (Instr. 4)			
			or or	Transaction(s)			
			Code V Amount (D) Price	(Instr. 3 and 4)			
Common Stock	07/15/2016		$P_{\underline{(1)}}$ V 11.035 A $\frac{\$}{32.94}$	5,519.11 D			
Common	07/15/2016		D(1) X/ 10 006 A \$	5 520 116 D			

V 19.006 A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

07/15/2016

Stock

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

5,538.116

33.04

D

### Edgar Filing: Main Street Capital CORP - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	<b>.</b>	ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Lane Brian E.					
1300 POST OAK BLVD	X				
SUITE 800	Λ				
HOUSTON, TX 77056					

## **Signatures**

/s/ Jason B. Beauvais as Attorney-in-Fact for Brian E. 07/22/2016 Lane

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2