Edgar Filing: Main Street Capital CORP - Form 4

Main Street Capital CORP Form 4 September 23, 2016

| September 2 | 3, 2016 | | | | | | | | | | | |
|--|---|--|-----------------------|---------------------------------|------|--|----------|---|--|--|---|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | OMB APPROVAL | | | |
| | Washington, D.C. 20549 | | | | | | | OMMISSION | OMB Number: | 3235-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or | | | CHAN | | | BENEF ATTIES | ICIAI | ERSHIP OF | Expires: Estimated a burden hou response | | | |
| Form 5 obligatio may com <i>See</i> Instr 1(b). | ns Section 17(| a) of the P | ublic U | tility I | Hold | | npany | Act of 1 | Act of 1934, 935 or Section | | | |
| Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and A CANON JC | | 21 issuer raune und riener or ridding | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | | | - | | P [MA | INJ | (Check | all applicable |) | |
| (Last) (First) (Middle) 1300 POST OAK BLVD., STE. 800 | | | 09/15/2016 | | | | | _X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| HOUSTON | (Street) | | 4. If Ame Filed(Mo | | | te Origina | 1 | - - - | 5. Individual or Joi Applicable Line) X_ Form filed by O Form filed by Mo | ne Reporting Pe | rson | |
| (City) | (State) | (Zip) | | | | | | | Person | | | |
| | × , | | | | on-D | | | _ | red, Disposed of, | | - | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution I any (Month/Da | Date, if | 3. Transa Code (Instr. | | 4. Securit nor Dispos (Instr. 3, | ed of (I |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 09/15/2016 | | | Code $P(1)$ | | Amount 164.043 | . , | Price \$ 33.8 | · · | D | | |
| Common Stock | 09/15/2016 | | | P <u>(1)</u> | v | 78.76 | А | \$ 34.14 | 56,238.31 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Tit | le and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|--------|------------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | Number | Expiration D | ate | Amou | unt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Unde | rlying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Secur | rities | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr | . 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | ,, | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | or | | |
| | | | | | | Exercisable | Date | Title | | | |
| | | | | | | Excicisable | Dute | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |
| | | | | | | | | | | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | |
|--|------------|------------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| CANON JOSEPH E 1300 POST OAK BLVD. STE. 800 HOUSTON, TX 77056 | Х | | | |
| Signatures | | | | |
| /s/ Jason B. Beauvais as Attorn Canon | 09/23/2016 | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt (1) from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date