AMKOR TECHNOLOGY, INC.

Form 4 March 13, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number: January 31,

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Rutten Guillaume Marie Jean

2. Issuer Name and Ticker or Trading Symbol

AMKOR TECHNOLOGY, INC.

Issuer

(Check all applicable)

**Executive Vice President** 

5. Relationship of Reporting Person(s) to

(Last)

(First)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 03/13/2017

Director X\_ Officer (give title below)

Other (specify

10% Owner

2045 EAST INNOVATION **CIRCLE** 

> (Street) 4. If Amendment, Date Original

[AMKR]

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**TEMPE**, AZ 85284

(City)

	Tuble 1 110h Berryanye Securities required, Disposed 69, 67 Beneficiany 6 wheat									
2. Transaction Date	2A. Deemed	3.	4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of		
(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			d of	Securities	Form: Direct	Indirect		
	any	Code	(D)			Beneficially	(D) or	Beneficial		
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership		
						Following	(Instr. 4)	(Instr. 4)		
				(4)		Reported				
						Transaction(s)				
		C 1 W			ъ.	(Instr. 3 and 4)				
		Code V	Amount	(D)						
03/13/2017		М	6.250	٨	\$	6.250	D			
03/13/2017		171	0,230	А	5.18	0,230	D			
03/13/2017		C	6.250	D	¢ 11	0	D			
03/13/2017		3	0,230	ט	φ11	U	D			
		2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  03/13/2017	2. Transaction Date   2A. Deemed   3.   Transaction Date   2A. Deemed   Execution Date, if any (Month/Day/Year)   Code (Instr. 8)   Code V   03/13/2017   M	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Code (D) (Instr. 8)  Code V Amount  M 6,250	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  2. Transaction(A) or Dispose Code (D) (Instr. 8)  (Instr. 3, 4 and Or Code V Amount (D)  03/13/2017  M 6,250 A	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  2. Transaction(A) or Disposed of Code (D) (Instr. 8)  (Instr. 8)  (A) or Code V Amount (D) Price  M 6,250 A \$ 5.18	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction(A) or Disposed of any (Month/Day/Year)  2. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5)  2. Transaction(A) or Disposed of Securities Beneficially  3.	2. Transaction Date (Month/Day/Year)   2A. Deemed   3.   4. Securities Acquired (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Instr. 8)   (Instr. 3, 4 and 5)   Code (D) (Instr. 8)   (Instr. 3, 4 and 5)   (Instr. 4)   (Instr. 4)   (Instr. 3)   (Instr		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year	7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 5.18	03/13/2017		M	6,250	02/13/2015(1)	02/13/2024	Amkor Technology, Inc., Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rutten Guillaume Marie Jean

2045 EAST INNOVATION CIRCLE Executive Vice President

**TEMPE, AZ 85284** 

### **Signatures**

Jerry C. Allison, Attorney-in-Fact for Guillaume Marie Jean
Rutten
03/13/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16th of the option vests each quarter thereafter, such that 100% of the option will be vested on the fourth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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