#### JACKSON JOHN EARL

Form 4

January 05, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* JACKSON JOHN EARL

> (First) (Middle)

1300 POST OAK BLVD, 8TH **FLOOR** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

Main Street Capital CORP [MAIN]

3. Date of Earliest Transaction (Month/Day/Year)

12/15/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77056

(City)	(State)	(Zip) Tab	ole I - N	on-l	Derivative S	ecurit	ies Acqui	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securities Acquired (A) mor Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	12/15/2017		<b>J</b> (1)	V	26.5534	A	\$ 40.55	25,073.5557	D	
Common Stock	12/15/2017		J <u>(1)</u>	V	55.459	A	\$ 40.7	25,129.0147	D	
Common Stock	12/27/2017		J <u>(1)</u>	V	38.9684	A	\$ 40.18	25,167.9831	D	
Common Stock	12/27/2017		J <u>(1)</u>	V	81.445	A	\$ 40.3	25,249.4281	D	
Common Stock	12/15/2017		J <u>(1)</u>	V	5	A	\$ 40.67	1,197	I	By Wife

#### Edgar Filing: JACKSON JOHN EARL - Form 4

Common Stock 12/27/2017  $J_{(1)}^{(1)}$  V 8 A  $\frac{\$}{40.23}$  1,205 I By Wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		onNumber	onNumber Expiration Date			Amount of	Derivative	Deriv	
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Own
		Security				Acquired			•			Follo
						(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
												(msu
						(Instr. 3,						
					4, and 5)							
										Amount		
										or		
								Expiration Date	Title	Number		
				~					of			
					Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

JACKSON JOHN EARL
1300 POST OAK BLVD
8TH FLOOR
HOUSTON, TX 77056

## **Signatures**

/s/ Jason B. Beauvais as Attorney-in-Fact for John E. Jackson

01/05/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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