Mannie Jeff Form 4 April 05, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mannie Jeff

> (First) (Middle)

(Last)

900 JEFFERSON AVE

(Street)

2. Issuer Name and Ticker or Trading Symbol

BOX INC [BOX]

3. Date of Earliest Transaction

(Month/Day/Year) 04/03/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner \_X\_\_ Officer (give title \_ \_ Other (specify

below) VP Controller & Chief Acct Ofr

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### REDWOOD CITY, CA 94063

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owne							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3,	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Class A Common Stock	04/03/2019		A	2,512 (1)	A	\$ 0	104,596	D	
Class A Common Stock	04/03/2019		A	40,000 (2)	A	\$ 0	144,596	D	
Class A Common Stock	04/03/2019		F	869 (3)	D	\$ 19.59	143,727	D	
Class A Common	04/03/2019		S	10,000	D	\$ 19.74	133,727	D	

#### Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisa	able and	7. Titl	e and	8. Pri
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		<b>Expiration Date</b>	iration Date		nt of	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Ye	ear)	Under	lying	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date E	Expiration		or	
							Date T	Title		
						LACICIDADIC D	- 410		of	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

> > VP Controller & Chief Acct Ofr

Mannie Jeff 900 JEFFERSON AVE

REDWOOD CITY, CA 94063

# **Signatures**

/s/ David Leeb, 04/05/2019 Attorney-in-Fact

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents Restricted Stock Units ("RSUs") that were granted as a bonus payment earned under the Company's FY19 Executive Bonus **(1)** Plan. These RSUs were deemed 100% vested immediately following their grant.
- Represents RSUs. 1/16th of the RSUs shall vest after each 3 full months thereafter for the next 48 months, subject to continuous service **(2)** through each such date.
- Represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection **(3)** with the net settlement of RSUs and does not represent a sale by the Reporting Person.

Reporting Owners 2

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## Edgar Filing: Mannie Jeff - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.