

Nelson Adam D
 Form 4/A
 May 03, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Nelson Adam D

2. Issuer Name and Ticker or Trading Symbol
 Triumph Bancorp, Inc. [TBK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 12700 PARK CENTRAL
 DRIVE, SUITE 1700
 (Street)

3. Date of Earliest Transaction
 (Month/Day/Year)
 05/01/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 EVP and General Counsel

DALLAS, TX 75251
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)
 05/03/2019

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Price | | | |
| Common Stock | 05/01/2019 | | A | 818 ⁽¹⁾ A \$ 0 | 18,556 ⁽²⁾ | D | |
| Common Stock | 05/01/2019 | | F | 72 ⁽³⁾ D \$ 31 | 18,484 ⁽⁴⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
 (9-02)

Edgar Filing: Nelson Adam D - Form 4/A

- (2) Consists of (i) 14,722 shares beneficially owned by reporting person, and (ii) 3,834 shares of restricted stock of the reporting person subject to future vesting requirements.
- (3) Represents 72 shares surrendered to satisfy applicable federal income tax withholding associated with the 5/1/19 vesting of 299 shares of restricted stock issued to reporting person.
- (4) Consists of (i) 14,949 shares beneficially owned by Reporting Person, and (ii) 3,535 shares of restricted stock of the reporting person subject to future vesting requirements.
- (5) Represents non-qualified stock options of Issuer granted to reporting person under Issuer's 2014 Omnibus Incentive Plan.
- (6) Exercise of the employee stock option is subject to vesting over four years from the date of grant, with one fourth of such options becoming exercisable on each of the first four anniversaries of the date of grant.

Remarks:

The original Form 4 filed on May 3, 2019 is amended by this Form 4 amendment to correctly reflect the number of non-qualified

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.