#### SPECTRUM CONTROL INC

Form 4

November 03, 2005

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

Check this box if no longer subject to

3235-0287 Number: January 31,

2005

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**OMB APPROVAL** 

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* FREEMAN JOHN P

(First)

(Street)

2. Issuer Name and Ticker or Trading

Issuer

(Middle)

Symbol SPECTRUM CONTROL INC 5. Relationship of Reporting Person(s) to

[SPEC]

\_X\_\_ Director 10% Owner

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title below)

Other (specify below)

8031 AVONIA ROAD

11/01/2005

Vice President & CFO 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

FAIRVIEW, PA 16415

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

59,342

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Common

Stock

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and L Underlying S (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options	\$ 8.75					<u>(1)</u>	12/21/2005	Common Stock	12,000
Options	\$ 5.27					(2)	03/07/2007	Common Stock	25,000
Options	\$ 8.68					(3)	01/19/2009	Common Stock	16,500
Options	\$ 7.6					<u>(4)</u>	01/19/2010	Common Stock	15,000
Options	\$ 6.31	11/01/2005		A	30,000	<u>(5)</u>	11/01/2010	Common Stock	30,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
reporting o where runner, reduces	Director	10% Owner	Officer	Other
FREEMAN JOHN P 8031 AVONIA ROAD FAIRVIEW, PA 16415	X		Vice President & CFO	

# **Signatures**

John P. Leemhuis, Jr. Attorney in fact for John P.
Freeman.

11/03/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the options are currently exercisable.
- (2) 2/3rd of the options are currently exercisable and the remaining 1/3rd are exercisable 3/7/06.
- (3) 1/3rd of the options are exercisable 1/19/2006, 1/3rd are exercisable 1/19/2007, and the remaining 1/3rd are exercisable 1/19/2008.
- (4) 1/3rd of the options are exercisable 1/19/2007, 1/3rd are exercisable 1/19/2008, and the remaining 1/3rd are exercisable 1/19/2009.
- (5) 1/3rd of the options are exercisable 11/1/2007, 1/3rd are exercisable 11/1/2008, and the remaining 1/3rd are exercisable 11/1/2009.

(6) \* All are granted under the Spectrum Control, Inc. Employee Stock Option Plan which is a Rule 16b-3 plan.

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#### **Remarks:**

All options are granted under the Spectrum Control, Inc. Employee Stock Option Plan which is a Rule 16b-3 plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.