#### KAUCHAK JOHN J

Form 4

November 21, 2011

Check this box

if no longer

Section 16.

Form 4 or

subject to

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

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**OMB APPROVAL** 

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**SECURITIES** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1. Name and A KAUCHAK	ddress of Reporting Po JOHN J	Symbol	2. Issuer Name and Ticker or Trading Symbol UNITY BANCORP INC /NJ/ [unty]			5. Relationship of Reporting Person(s) to Issuer			
				- • •	(Check all applicable)				
(Last)	(First) (Mi	,	3. Date of Earliest Transaction						
C/O UNITY HWY 22	BANK CORP, 64	`	(Month/Day/Year) 11/17/2011			Director 10% OwnerX Officer (give title Other (specify below) EVP/COO			
	(Street)	4. If Ame	ndment, Date Origi	nal	6. Individual or Joint/Group Filing(Check				
		Filed(Mor	nth/Day/Year)		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
CLINTON,	NJ 08809								
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of	2. Transaction Date	2A. Deemed	3. 4. Sec	urities	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	TransactionAcqui		Securities	Form: Direct	Indirect		
(Instr. 3) any		•	Code Disposed of (D)		Beneficially	•			
		(Month/Day/Year)	(Instr. 8) (Instr.	3, 4 and 5)	Owned	Indirect (I)	Ownership		
					Following Reported	(Instr. 4)	(Instr. 4)		
				(A)	Transaction(s)				
			C- 1- V A	or OD Deice	(Instr. 3 and 4)				
Common Stock	11/17/2011		Code V Amou A 2,000 (1)		10,443 (2)	D			
Common					26.250 (5)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

 $26,250 \frac{(5)}{2}$ 

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 6.4	11/17/2011		A	10,000	11/17/2012	11/17/2021	Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KAUCHAK JOHN J C/O UNITYBANK CORP 64 OLD HWY 22 CLINTON, NJ 08809

EVP/COO

## **Signatures**

John J Kauchak 11/21/2011

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2,000 Restricted Shares were granted effective 11/17/2011 and issued from the 2011 Stock Bonus Plan; vesting commences with 500 shares on 11/17/2012, 500 shares on 11/17/2013, 500 shares on 11/17/2014 and 500 shares on 11/17/2015.
- (2) (2) Total Restricted Stock
- (3) 10,000 non-qualified stock options were granted on 11/17/2011 from the 2011 Stock Option Plan; vesting over a three year period and (3) on each of the first three anniversary dates, one-third of the option shall vest; i.e. 3,333.34 on 11/17/2012; 3,333.33 on 11/17/2014.
- (4) (4) 55,779 are currently exercisable and 25,333 are not exercisable
- (5) (5) Total Non-Restricted Stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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