

Citrone Robert K.  
Form 4  
August 25, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DISCOVERY CAPITAL  
MANAGEMENT, LLC / CT

(Last) (First) (Middle)

20 MARSHALL STREET, SUITE  
310

(Street)

SOUTH NORWALK, CT 06854

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
PEABODY ENERGY CORP [BTU]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/23/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock, \$0.01 par value  | 08/23/2017                           |  | S                              |   | 6,938,655   | D  | \$ 27.1   |
|                                 |                                      |  |                                |   |   |  | 6,273,469 <sup>(1)</sup>                              |
|                                 |                                      |  |                                |   |   |  | I   |
|                                 |                                      |  |                                |   |   |  | See Footnote <u>(2)</u>                               |
| Common Stock, \$0.01 par value  | 08/23/2017                           |  | S                              |   | 5,861,345   | D  | \$ 27.1   |
|                                 |                                      |  |                                |   |   |  | 2,848,076 <sup>(3)</sup>                              |
|                                 |                                      |  |                                |   |   |  | I   |
|                                 |                                      |  |                                |   |   |  | See Footnote <u>(4)</u>                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474  
(9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| DISCOVERY CAPITAL MANAGEMENT, LLC / CT<br>20 MARSHALL STREET<br>SUITE 310<br>SOUTH NORWALK, CT 06854   |               | X         |         |       |
| Discovery Global Opportunity Partners, L.P.<br>C/O DISCOVERY CAPITAL MANAGEMENT, LLC<br>20 MARSHALL STREET, SUITE 310<br>SOUTH NORWALK, CT 06854 |               | X         |         |       |
| Citrone Robert K.<br>C/O DISCOVERY CAPITAL MANAGEMENT, LLC<br>20 MARSHALL STREET, SUITE 310<br>SOUTH NORWALK, CT 06854                           |               | X         |         |       |

## Signatures

|   |            |
|---|------------|
| Discovery Capital Management, LLC, By: /s/ Robert K. Citrone, Managing Member   | 08/25/2017 |
| _____<br>**Signature of Reporting Person  | Date       |
| Discovery Global Opportunity Partners, LP, By: Discovery Capital Management, LLC, its general partner, By: /s/ Robert K. Citrone, Managing Member | 08/25/2017 |
| _____<br>**Signature of Reporting Person  | Date       |
| By: /s/ Robert K. Citrone   | 08/25/2017 |
| _____<br>**Signature of Reporting Person  | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Due to a calculation error, an incorrect conversion ratio that did not account for 27 days of compounding interest was used when the Series A Convertible Preferred Stock beneficially owned by the Reporting Persons was converted on April 3, 2017. This resulted in the Reporting Persons having beneficial ownership over 1,590 more shares of Common Stock than previously reported.  
The securities are held in the accounts of various clients of Discovery Capital Management, LLC ("Discovery") and may be deemed to be beneficially owned by Discovery, as the investment manager to such clients, and Robert K. Citrone, as the managing member of Discovery.
  - (2) Due to a calculation error, an incorrect conversion ratio that did not account for 27 days of compounding interest was used when the Series A Convertible Preferred Stock beneficially owned by the Reporting Persons was converted on April 3, 2017. This resulted in the Reporting Persons having beneficial ownership over 1,645 more shares of Common Stock than previously reported.
  - (3) The securities are held in the account of Discovery Global Opportunity Partners, LP (the "Fund") and may be deemed to be beneficially owned by Discovery, as the general partner of the Fund, and Robert K. Citrone, as the managing member of Discovery.
  - (4)

### Remarks:

Each Reporting Person disclaims beneficial ownership in the securities reported on this Form 4 except to the extent of its or his

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.