Edgar Filing: INNOVUS PHARMACEUTICALS, INC. - Form 4

INNOVUS PHAR Form 4 July 03, 2017	RMACEUTI	CALS, IN	NC.						
									PPROVAL
Washington,							OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations	Section 1	CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES action 16(a) of the Securities Exchange Act of 1934, blic Utility Holding Company Act of 1935 or Section					Expires: January 31, 2005 Estimated average burden hours per response 0.5		
may continue. <i>See</i> Instruction 1(b).				•	•	ny Act of 1		J	
(Print or Type Respon	ises)								
1. Name and Address MIRZA ZIAD	2. Issuer Name and Ticker or Trading Symbol INNOVUS PHARMACEUTICALS, INC. [INNV]				5. Relationship of Reporting Person(s) to Issuer, (Check all applicable)				
(Last) (l	First) (1	Middle)	L	-	ransaction		Director	100	% Owner
9171 TOWNE CI STE 440	3. Date of Earliest Transaction (Month/Day/Year) 07/01/2017				Officer (give title Other (specify below) below)				
2)	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
SAN DIEGO, CA	A 92122							More than One R	
(City) (S	State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed of	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Day/Year)			Date, if TransactionAcquired (A) or Code Disposed of (D) ay/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D) Price	· · · ·		
Reminder: Report on	a separate line	for each cla	ass of sec	urities bene	-	-	-	- 1 6	
					inforn requii	nation con red to resp ays a curre	spond to the collect tained in this form ond unless the for ntly valid OMB con	are not m	SEC 1474 (9-02)
	Tabl					posed of, or convertible	Beneficially Owned securities)	I	

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		~	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u> <u>(2)</u>	07/01/2017		A <u>(3)</u>	26,643	07/01/2017	07/01/2027	Common Stock	26,643

Reporting Owners

Reporting Owner Name / Address
Relationship

Director
10% Owner
Officer
Other

MIRZA ZIAD
9171 TOWNE CENTRE DRIVE, STE 440
Image: Comparison of the c

/s/ Ziad Mirza 07/03/2017 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) The Reporting Person was granted a Restricted Stock Unit on July 1, 2017 of 53,286 shares, which were fully vested on the date of the grant.
- (3) These restricted stock units were granted pursuant to the Issuer's 2016 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.