

Poindexter Philip  
Form 4  
March 27, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Poindexter Philip

(Last) (First) (Middle)

320 MOCKINGBIRD HILL ROAD

(Street)

LOUISVILLE, KY 40207

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/25/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------|
| Common<br>Stock                       |                                         |                                                             |                                      | (A)<br>or<br>(D)                                                        |                                                                                                                    |                                                                         |                                                             |
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             |                                                                         | Price                                                       |
| Common<br>Stock                       | 03/25/2019                              |                                                             | A                                    |                                                                         | 7,494                                                                                                              | A                                                                       | \$<br>33.39                                                 |
| Common<br>Stock                       | 03/25/2019                              |                                                             | F                                    |                                                                         | 3,476                                                                                                              | D                                                                       | \$<br>33.39                                                 |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         |                                                             |
|                                       |                                         |                                                             |                                      |                                                                         | 12,094                                                                                                             | I                                                                       | 401k/ESOP-FBO<br>Philip Poindexter                          |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         |                                                             |
|                                       |                                         |                                                             |                                      |                                                                         | 33,009                                                                                                             | D                                                                       |                                                             |
|                                       |                                         |                                                             |                                      |                                                                         | 29,533                                                                                                             | D                                                                       |                                                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date<br>Exercisable<br>Expiration<br>Date                      | Title<br>Amount<br>or<br>Number<br>of Shares                        |
| Stock<br>Appreciation<br>Right                      | \$ 35.9                                                               |                                         |                                                             |                                         |                                                                                                                       | 02/20/2019 02/20/2028                                          | Common<br>Stock 4,872                                               |
| Stock<br>Appreciation<br>Right                      | \$ 15.24                                                              |                                         |                                                             |                                         |                                                                                                                       | 02/20/2013 02/20/2022                                          | Common<br>Stock 10,698                                              |
| Stock<br>Appreciation<br>Right                      | \$ 15.26                                                              |                                         |                                                             |                                         |                                                                                                                       | 02/19/2014 02/19/2023                                          | Common<br>Stock 7,575                                               |
| Stock<br>Appreciation<br>Right                      | \$ 19.37                                                              |                                         |                                                             |                                         |                                                                                                                       | 02/18/2015 02/18/2024                                          | Common<br>Stock 8,872                                               |
| Stock<br>Appreciation<br>Right                      | \$ 22.96                                                              |                                         |                                                             |                                         |                                                                                                                       | 03/17/2016 03/17/2025                                          | Common<br>Stock 8,098                                               |
| Stock<br>Appreciation<br>Right                      | \$ 25.76                                                              |                                         |                                                             |                                         |                                                                                                                       | 03/15/2017 03/15/2026                                          | Common<br>Stock 9,469                                               |
| Stock<br>Appreciation<br>Right                      | \$ 40                                                                 |                                         |                                                             |                                         |                                                                                                                       | 03/21/2018 03/21/2017                                          | Common<br>Stock 4,968                                               |
| Stock<br>Appreciation<br>Right                      | \$ 15.84                                                              |                                         |                                                             |                                         |                                                                                                                       | 03/15/2012 03/15/2021                                          | Common<br>Stock 6,145                                               |
| Stock<br>Appreciation<br>Right                      | \$ 39.32                                                              |                                         |                                                             |                                         |                                                                                                                       | 10/01/2019 10/01/2028                                          | Common<br>Stock 17,500                                              |
|                                                     | \$ 36.65                                                              |                                         |                                                             |                                         |                                                                                                                       | 02/19/2020 02/19/2029                                          | 6,989                                                               |

Stock  
Appreciation  
Right

Common  
Stock

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships |           |           |       |
|------------------------------------------------------------------------|---------------|-----------|-----------|-------|
|                                                                        | Director      | 10% Owner | Officer   | Other |
| Poindexter Philip<br>320 MOCKINGBIRD HILL ROAD<br>LOUISVILLE, KY 40207 |               |           | President |       |

## Signatures

/s/ Philip  
Poindexter 03/27/2019

\_\_Signature of Date  
Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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