## Edgar Filing: LEVY JEFFREY M - Form 4

LEVY JEFF Form 4 January 24, 2											
FORM	Л										PPROVAL
		) STATES						NGE (	COMMISSION	ONID	3235-0287
Check th	is box		was	ningto	on, I	D.C. 205	949			Number:	January 31,
if no long subject to Section 1 Form 4 o Form 5 obligatio may cont See Instru 1(b).	Section 16 Public Ut	<b>EXAMPLES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						Expires. 2005 Estimated average burden hours per response 0.5			
(Print or Type I	Responses)										
1. Name and A LEVY JEFF	Address of Reportin FREY M	g Person <u>*</u>	2. Issuer Symbol NBT BA			Ficker or T		g	5. Relationship of Issuer		
(Last)	(First)	(Middle)	3. Date of			-			(Chec	k all applicable	e)
52 SOUTH BROAD STREET 01/23/20			/Day/Year) /2013					Director 10% Owner X Officer (give title Other (specify below) below) EVP/ Pres Commercial Banking			
NORWICH	(Street)		4. If Amer Filed(Mont			e Original			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by M	One Reporting Po	erson
(City)	(State)	(Zip)	Π.1.1	T NI-	. <b>D</b> .			•	Person		
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ate 2A. Dea ar) Executi any	Deemed 3 cution Date, if 7		<ul> <li>Je I - Non-Derivative Securities Acconnection</li> <li>3. 4. Securities</li> <li>TransactionAcquired (A) or</li> <li>Code Disposed of (D)</li> <li>(Instr. 8) (Instr. 3, 4 and 5)</li> </ul> (A) <ul> <li>(A)</li> <li>(A)</li> <li>(A)</li> <li>(A)</li> </ul>			5. Amount of 6. O Securities Forr Beneficially (D) Owned Indi Following (Inst Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
				Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
NBT Bancorp Inc. Common Stock	01/23/2013			Α		6,325 (1)	A	\$0	38,783.629	D	
NBT Bancorp Inc. Common Stock	01/23/2013			А		1,000 (2)	A	\$0	39,783.629	D	
NBT Bancorp									3,879.48	I	401k

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Inc. Common Stock				
NBT Bancorp Inc. Common Stock	86	60.875	I	NBT Bancorp ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and 4 Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Non-Qualified Stock Option (right to buy)	\$ 20.19					01/15/2011 <u>(3)</u>	01/15/2020	NBT Bancorp Inc. Common Stock	18,00
Non-Qualified Stock Option (right to buy)	\$ 20.3617					01/15/2009 <u>(4)</u>	01/15/2018	NBT Bancorp Inc. Common Stock	7,50
Non-Qualified Stock Option (right to buy)	\$ 22.352					01/01/2007 <u>(4)</u>	01/01/2016	NBT Bancorp Inc. Common Stock	5,00
Non-Qualified Stock Option	\$ 23.293					10/01/2006(4)	10/01/2015	NBT Bancorp	20,0

#### (right to buy)

Non-Qualified Stock Option \$25.38 (right to buy)

Non-Qualified **Stock Option** \$ 25.762 (right to buy)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
LEVY JEFFREY M 52 SOUTH BROAD STREET NORWICH, NY 13815			EVP/ Pres Commercial Banking				
Signatures							

By: F. Sheldon Prentice, Power of Attorney For: Jeffrey M.	
Levy	01/24/2013
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to NBT Bancorp Inc. Omnibus Performance Unit Agreement, each member of a select group of Management is entitled to (1) receive an award based on Company performance goals in the form of restricted stock units that vests four years following the end of a performance period.
- Pursuant to NBT Bancorp Inc. Omnibus Incentive Plan Long-Term Performance-Based Stock Award Agreement. Selected members of (2) Management are entitled to receive an award based on Company performance goals in the form of restricted stock units that become 100% vested at the end of the Performance Measurement Period.
- (3) Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.
- (4) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

		Common Stock	
01/15/2010 <u>(3)</u>	01/15/2019	NBT Bancorp Inc. Common Stock	13,00
01/01/2008(4)	01/01/2017	NBT Bancorp Inc. Common Stock	9,00

Inc.