Edgar Filing: Advaxis, Inc. - Form 4

Advaxis, Inc. Form 4 May 02, 2016 FORM Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	s box er STAT 5. s filed snue. ction	EMENT O pursuant to 17(a) of the	Was DF CHAN Section 10	shington, GES IN 1 SECUR 6(a) of the ility Hold	D.C. 20 BENEFI ITIES e Securit ling Corr	549 CCIA ies E	L OW xchang / Act o	COMMISSION NERSHIP OF ge Act of 1934, f 1935 or Sectic 40	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Mayes, Gregory T.			2. Issuer Name and Ticker or Trading Symbol Advaxis, Inc. [ADXS]				ıg	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)		Earliest Tr	-			(Che	ck all applicable	e)	
305 COLLEGE ROAD EAST			(Month/Day/Year) 04/29/2016					Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer			
				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PRINCETON	N,, NJ 08540							Form filed by I Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Securi	ities Acc	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Executi any	emed on Date, if /Day/Year)	Code	on(A) or Di (D) (Instr. 3,	4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	04/29/2016			А	282 <u>(1)</u>	А	\$ 7.74	121,316	D		
Common Stock	04/29/2016			F	62 <u>(2)</u>	D	\$ 7.74	121,254	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Mayes, Gregory T. 305 COLLEGE ROAD EAST PRINCETON,, NJ 08540			Chief Operating Officer					
Signatures								
/s/ Sara Bonstein, as attorney-ir Mayes	05/02/2016							
**Signature of Reporting	g Person		Date					
Evaloretion of Do								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the month.
- (2) Represents shares forfeited by the reporting person in order to pay withholding taxes associated with the stock issuance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.