Doherty Daniel Joseph III Form 3 January 19, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement REED'S, INC. [REED] A Doherty Daniel Joseph III (Month/Day/Year) 01/10/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 13000 S. SPRING STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Director \_X\_ 10% Owner \_X\_ Form filed by One Reporting Officer Other Person LOS ANGELES, Â CAÂ 90061 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 20,000 Common Stock 3,433,334 I See Footnote (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Convertible Promissory Note	12/27/2017	04/21/2021	Common Stock	2,266,667	\$ 1.5	I	See Footnote (1)
Warrant	12/27/2017	12/27/2020	Common Stock	1,333,333	\$ 2.025	I	See Footnote (1)
Warrant	07/19/2017	07/13/2022	Common Stock	60,000	\$ 1.55	I	See Footnote (1)
Warrant	10/21/2017	04/21/2022	Common Stock	650,000	\$ 1.5	I	See Footnote (1)
Warrant	01/13/2018	07/13/2022	Common Stock	350,000	\$ 2	I	See Footnote (1)
Warrant	06/20/2018	12/22/2022	Common Stock	750,000	\$ 1.5	I	See Footnote (1)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FB</b>	Director	10% Owner	Officer	Other		
Doherty Daniel Joseph III 13000 S. SPRING STREET LOS ANGELES,, CA 90061	ÂX	ÂX	Â	Â		

## **Signatures**

/s/ Daniel J.
Doherty III

\*\*Signature of Reporting Person

O1/19/2017

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These securities are held by Raptor/ Harbor Reeds SPV LLC, a significant shareholder of Reed's Inc. Mr. Doherty is a principal and
  (1) significant shareholder of Raptor/ Harbor Reeds SPV LLC. He shares discretionary authority to vote and dispose of the securities held by Raptor/ Harbor Reeds SPV LLC with James Pallotta and may be deemed to be the beneficial owner of these securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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