#### Edgar Filing: DALLAS ROBERT H II - Form 4

#### DALLAS ROBERT H II

Form 4 June 14, 2011

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * DALLAS ROBERT H II			2. Issuer Name and Ticker or Trading Symbol UNITY BANCORP INC /NJ/ [unty]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	Middle)	3. Date of Earliest Transaction				(Check all applicable)				
C/O UNITY BANCORP INC, 64 OLD HIGHWAY 22		(Month/Day/Year) 06/14/2011					X_ DirectorX_ 10% Owner Officer (give title below)				
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
CLINTON, NJ 08809			Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	06/14/2011			M	40,202	A	\$ 3.17	198,922	D		
Common Stock								794,585 (1)	I	Dallas Financial Holdngs, LLC	
Common Stock								38,413 (1)	I	Trenton Liberty Ins. Co.	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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5. Number of 6. Date Exercisable and

SEC 1474 (9-02)

7. Title and Amount of

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities	
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year	·)	(Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option	\$ 3.17	06/14/2011		M	40,202	07/19/2004(2)	07/19/2011	Common Stock	40,202

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Transfer de la companya de la compan	Director	10% Owner	Officer	Other			
DALLAS ROBERT H II							
C/O UNITY BANCORP INC	X	X					
64 OLD HIGHWAY 22	Λ	Λ					
CLINTON, NJ 08809							

3. Transaction Date 3A. Deemed

### **Signatures**

1. Title of 2.

Robert H. Dallas, II, poa Linda B. McDermott, Corp. Sec.

06/14/2011 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are also disclosed as beneficially owned by Mr. David Dallas.
- (2) The Stock Optons vested in intervals with one-third vesting on July 19, 2002 and an additional 1/3 vesting each year therafter until fully vested on July 19, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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