Edgar Filing: MATTEL INC /DE/ - Form 4

MATTEL INC /DE/ Form 4 August 01, 2016 FORM 4 Check this box if no longer subject to Section 16. Form 4 or						49 Number: 3235- Expires: Januar				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	Responses)									
			2. Issuer Name and Ticker or Trading Symbol MATTEL INC /DE/ [MAT]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (1		3. Date of Earliest Transaction (Check				k all applicable)			
(M			(Month/Day/Year) 07/31/2016				Director 10% Owner X Officer (give title Other (specify below) below) EVP&Chief Supply Chain Officer			
(Street) 4. If Ame Filed(Mon				Date Origina ar)	ıl		6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
EL SEGUN	DO, CA 90245						Form filed by M Person	fore than One Re	porting	
(City)	(State)	(Zip)	able I - Non-	Derivative	Secur	rities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code		ispose 4 and (A) or	ed of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common	07/31/2016		M	5,331	(D) A	<u>(1)</u>	12,548	D		
Stock Common Stock	07/31/2016		F	2,004 (2)	D	\$ 33.38	10,544	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	07/31/2016		М	5,331	<u>(1)</u>	<u>(1)</u>	Common Stock	5,331	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gibbons Peter D 333 CONTINENTAL BOULEVARD EL SEGUNDO, CA 90245			EVP&Chief Supply Chain Officer			
Signatures						
/s/ Julie Kwok, Attorney-in-Fact for Peter D. Gibbons		(08/01/2016			
<u>**</u> Signature of Reporting Person			Date			
Explanation of Respo	nses:					

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As reported on a Form 4 dated July 31, 2015 and filed on August 4, 2015, the reporting person received a grant of 16,157 Restricted Stock Units ("RSUs" or "Units") on July 31, 2015. The RSUs vest as to (a) 33% of the Units on the first anniversary of the date of grant, (b) an additional 33% of the Units on the second anniversary of the date of grant, and (c) as to the remaining 34% of the Units on the third

- (1) anniversary of the date of grant. On each vesting date, for each unit vesting on such date, the reporting person will receive one share of Mattel, Inc. Common Stock (or, at the election of Mattel, Inc., a cash amount equal to the fair market value of one share of common stock on the date of vesting), subject to tax withholding. On July 31, 2016, the first 33% of these RSUs vested, resulting in the issuance of 5,331 shares of Mattel, Inc. Common Stock.
- (2) Pursuant to the terms of the July 31, 2015 RSU grant, 2,004 shares of Mattel, Inc. Common Stock were automatically withheld at vesting to cover required tax withholding.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.