

CHUY'S HOLDINGS, INC.

Form 3

March 05, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Lazenby Phillip

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/27/2014

3. Issuer Name and Ticker or Trading Symbol
CHUY'S HOLDINGS, INC. [CHUY]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP of Operations

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

1623 TOOMEY ROAD

(Street)

AUSTIN, TX 78704

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)
(Instr. 5)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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Stock Option (Right to Buy)	Â <u>(1)</u>	01/01/2019	Common Stock	7,312	\$ 5.99	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	01/01/2020	Common Stock	9,062	\$ 8.22	D	Â
Stock Option (Right to Buy)	Â <u>(3)</u>	02/28/2023	Common Stock	3,000	\$ 28.51	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lazenby Phillip 1623 TOOMEY ROAD AUSTIN, TX 78704	Â	Â	Â VP of Operations	Â

Signatures

/s/ Sharon Russell,
attorney-in-fact

03/05/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were granted on January 1, 2009 and vest 20% on each of the first five anniversaries of the grant date. These options became fully vested on January 1, 2014.
- (2) These options were granted on January 1, 2010 and vest 20% on each of the first five anniversaries of the grant date.
- (3) These options were granted on February 28, 2013 and vest 20% on each of the first five anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.