Edgar Filing: Lyons-Williams Lori - Form 4

Lyons-Willia	ams Lori										
Form 4											
August 17, 2	018										
FORM	14	~								PPROVAL	
	• • UNITED S	STATES					NGE (COMMISSION	OND	3235-0287	
Check th	is box		Was	hington,	D.C. 20	549			Number:		
if no longer								NEDCHID OF	Expires:	January 31, 2005	
subject to)	IENI U	r Chan	GES IN BENEFICIAL OWN				NERSHIP OF	Estimated a		
Section 1 Form 4 o	Section 16.				SECURITIES				burden hours per		
Form 5		sugnt to	Section 1	S(a) of the	Securiti	es F	vehana	e Act of 1934,	response	0.5	
obligatio	ns Section 17(s						•	f 1935 or Sectio	n		
may cont	inue.			vestment (•	· ·			11		
See Instru 1(b).		()			r	,					
(Print or Type I	Responses)										
	ddress of Reporting l	Person <u>*</u>		r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
Lyons-Willi	ams Lori		Symbol								
			Dermira	, Inc. [DE	[RM]			(Chec	k all applicable	e)	
(Last)	(First) (M	/liddle)	3. Date of Earliest Transaction								
~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~			(Month/D	-				Director 10% Owner Officer (give title Other (specify			
	IRA, INC., 275		08/15/20)18				below)	below)	er (specify	
MIDDLEFI	ELD ROAD, SU	ITE 150						Chief C	commercial Off	icer	
	(Street)		4. If Ame	ndment, Dat	e Original			6. Individual or Jo	oint/Group Filin	1g(Check	
			Filed(Mon	th/Day/Year)				Applicable Line)			
								_X_Form filed by C	One Reporting Pe More than One Re		
MENLO PA	ARK, CA 94025							Person		porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of	2. Transaction Date	e 2A. Dee	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	on Date, if	Transactio		spose	d of	Securities	Form: Direct	Indirect		
(Instr. 3)		Day/Year)	Code (D) (Instr. 8) (Instr. 3, 4 and 5)				Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(WORLD	Day/ I cal)	(Insu. 0)	(insu: 5,	+ anu	5)	Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(IIISU: 5 alid 4)			
Common	08/15/2018			М	1,063	А	\$0	2,984 (1)	D		
Stock					,			, _			
Common Stock	08/15/2018			F	368	D	\$ 9.58	2,616	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

9.58

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(2)</u>	08/15/2018		А	60,000		(3)	(3)	Common Stock	60,000
Restricted Stock Unit	<u>(2)</u>	08/15/2018		М		1,063	(4)	(4)	Common Stock	1,063

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Lyons-Williams Lori C/O DERMIRA, INC. 275 MIDDLEFIELD ROAD, SUITE 150 MENLO PARK, CA 94025			Chief Commercial Officer		
Signatures					
/s/ Christine Ring, by power of attorney	08/17/	2018			

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares include prior ESPP purchases.
- (2) Each RSU represents a contingent right to receive one share of DERM common stock.
- (3) Vests as to 50% of the shares on each of May 17, 2019 and May 17, 2020, subject to the Reporting Person's continuous status as a service provider on the applicable vesting date.
- (4) Vested as to 10% of the shares on August 15, 2018. 30% of the shares will vest on each of August 15, 2019, August 15, 2020 and August 15, 2021, subject to the Reporting Person's continuous status as a service provider on the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.