TriState Capital Holdings, Inc.

Form 4

Common

Stock

Stock

11/09/2015

Common 11/10/2015

November 12, 2015

November	12, 2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION						OMB APPROVAL					
	UNITEL	) STATES		RITIES ashingto				OMMISSION	OMB Number:	3235-0287	
Check if no lo subject Section Form 4 Form 5	SIAIE 116. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31, 2005 Estimated average burden hours per response 0.5		
obligat may co	ions Section 17	(a) of the H	Public 1		olding Co	ompa	ny Act of	e Act of 1934, 1935 or Section 0	ı		
(Print or Type	e Responses)										
1. Name and Address of Reporting Person * Dewhurst E.H.			2. Issuer Name <b>and</b> Ticker or Trading Symbol TriState Capital Holdings, Inc. [TSC]					5. Relationship of Reporting Person(s) to Issuer  (Check all sprainted)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Check all applicable)			
				(Month/Day/Year) 11/09/2015				X Director 10% Owner Officer (give title below) Other (specify below)			
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
PITTSBU	RGH, PA 15219		Filed(M	Ionth/Day/Ye	ear)			Applicable Line) _X_ Form filed by C Form filed by M			
(City)	(State)	(Zip)	To	bla I Nass	Danimatic	C	<b>:4: A</b>	Person	D e	aller Occur ad	
	2 T .: D.	_					_	uired, Disposed of			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)				Securities Ownersh Beneficially Form: Owned Direct (I Following or Indire Reported (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		D E 1	
Common Stock	11/09/2015			S <u>(1)</u>	1,232	D	\$ 12.7375 (2)	2,037	I	By Falcon Seaboard Holdings, LLC	
Common							\$			BY FALCON SEABOARD	

 $S_{\underline{(1)}}$ 

 $S^{(1)}$ 

10,168 D

D

2,400

13.0955 46,588

44,188

(4)

\$

(5)

13.1316

I

I

**INVESTMENT** 

COMPANY, L.P. (3)

**BY FALCON** 

**SEABOARD** 

**INVESTMENT** 

COMPANY, L.P. (3)

(9-02)

Common Stock

4,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 10	11/11/2015		D	5,000	07/22/2009(6)	01/22/2017	Common Stock	5,000
Stock Options (Right to Buy)	\$ 10	11/11/2015		D	5,000	06/18/2010(8)	12/18/2017	Common Stock	5,000
Stock Options (Right to Buy)	\$ 9.32					06/30/2014 <u>(9)</u>	12/31/2021	Common Stock	6,000
Stock Options (Right to Buy)	\$ 10.25					06/30/2015(10)	12/31/2022	Common Stock	6,000
Stock Options (Right to Buy)	\$ 12					06/18/2011(11)	12/18/2018	Common Stock	6,000
	\$ 8.75					06/15/2012(12)	12/15/2019		6,000

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Stock Options (Right to Buy)				Common Stock	
Stock Options (Right to Buy)	\$ 11.78	06/13/2016(13)	12/13/2023	Common Stock	6,000
Stock Options (Right to Buy)	\$ 8	06/30/2013(14)	12/31/2020	Common Stock	6,000

# **Reporting Owners**

#### Relationships

Reporting Owner Name / Address

Director 10%
Owner Officer Other

Dewhurst E.H.
ONE OXFORD CENTRE
301 GRANT STREET, SUITE 2700
PITTSBURGH, PA 15219

X

# **Signatures**

/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. Hirsch, Attorney-in-Fact

11/11/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 9, 2015.
- The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$12.00 to \$13.025, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (3) The Reporting Person is Vice President-Finance, Treasurer and Authorized Representative of this entity.
- The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$12.56 to \$13.30, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$12.97 to \$13.22, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (6) 2,500 of these options vested and became exercisable on or about 07/22/2009, and the remaining 2,500 options vested and became exercisable on 01/22/2012.

Reporting Owners 3

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- (7) The option was canceled by mutual agreement of the reporting person and TriState Capital Holdings, Inc. The reporting person received \$15,900 as consideration for the cancellation.
- (8) 2,500 of these options vested and became exercisable on or about 06/18/2010, and the remaining 2,500 options vested and became exercisable on 12/18/2012.
- (9) 3,000 of these options vested and became exercisable on or about 6/30/2014, and the remaining 3,000 options will vest and become exercisable on 12/31/2016.
- (10) 3,000 of these options vested and become exercisable on or about 6/30/2015, and the remaining 3,000 options will vest and become exercisable on 12/31/2017.
- (11) 3,000 of these options vested and became exercisable on 06/18/2011, and the remaining 3,000 options vested and became exercisable on 12/18/2013.
- (12)  $\frac{3,000}{12/15/2014}$  of these options vested and became exercisable on  $\frac{06}{15/2012}$ , and the remaining 3,000 options vested and became exercisable on
- (13)  $\frac{3,000}{12/13/2018}$  of these options will vest and become exercisable on  $\frac{6}{13}/2016$ , and the remainder will vest and become exercisable on  $\frac{12}{13}/2018$ .
- (14) 3,000 of these options vested and became exercisable on or about 06/30/2013, and the remaining 3,000 options will vest and become exercisable on 12/31/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.