TRI Pointe Homes, Inc.

Form 4 June 04, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

06/02/2015

(Print or Type Responses)

1. Name and A Krivanec K	Symbol	2. Issuer Name and Ticker or Trading Symbol TRI Pointe Homes, Inc. [TPH]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)  C/O TRI PO INC., 19540 SUITE 300	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 06/02/2015						Director 10% Owner X Officer (give title Other (specify below) President of Quadrant Homes				
(Street) 4. If Amen Filed(Mont IRVINE, CA 92612						_	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownersh Following (Instr. 4) (Instr. 4) Reported Γransaction(s)		
Common Stock	06/02/2015			Code M	V	Amount 5,883		Price \$ 9.68 (1)	(Instr. 3 and 4) 41,432	D		
Common Stock	06/02/2015			S		5,883	D	\$ 14.74 (2)	35,549	D		
Common Stock	06/02/2015			M		5,805 (3)	A	\$ 11.45	41,354	D		

5,805

(3)

D

(2)

14.74 35,549

D

S

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Common 06/02/2015 S 5,432 D 14.74 30,117 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option (right to buy)	\$ 9.68 (1)	06/02/2015		M		5,883	07/07/2014	02/08/2022	Common Stock	5,883
Stock option (right to buy)	\$ 11.45	06/02/2015		M		5,805 (3)	07/07/2014	02/09/2021	Common Stock	5,805 (3)

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Krivanec Ken C/O TRI POINTE HOMES, INC. 19540 JAMBOREE ROAD, SUITE 300 IRVINE, CA 92612

President of Quadrant Homes

## **Signatures**

Glenn J. Keeler, 06/04/2015 attorney-in-fact

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjusted due to rounding, originally reported as \$9.67.
  - The sales price is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$14.70 to \$14.7675,
- (2) inclusive. The reporting person undertakes to provide TRI Pointe Homes, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (3) Adjusted due to rounding, originally reported as 5,804.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.