Accogli Giuseppe Form 4 September 19, 2018

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response...

5. Relationship of Reporting Person(s) to

(Instr. 3 and 4)

59,452

67,785

50,138

D

D

D

1(b).

Common Stock, \$1

par value Common

Stock, \$1

par value Common

Stock, \$1

par value

(Print or Type Responses)

1. Name and Address of Reporting Person \*

09/17/2018

09/17/2018

09/17/2018

Accogli Giuseppe			Symbol BAXTER INTERNATIONAL INC [BAX]				Issuer (Check all applicable)				
	(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
	ONE BAXT	E BAXTER PARKWAY			018			below) below) SVP, President, Global Bus.			
(Street)				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
DEERFIELD, IL 60015								Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative Secu	rities Acqu	uired, Disposed of	, or Beneficiall	y Owned	
	1.Title of	2. Transaction Date	2A. Deen	ned	3.	4. Securities A	cquired	5. Amount of	6.	7. Nature of	
	Security	(Month/Day/Year)	Execution	Date, if	Transactio	on(A) or Dispose	ed of (D)	Securities	Ownership	Indirect	
	(Instr. 3)		any		Code	(Instr. 3, 4 and	15)	Beneficially	Form: Direct	Beneficial	
			(Month/D	ay/Year)	(Instr. 8)			Owned	(D) or	Ownership	
								Following	Indirect (I)	(Instr. 4)	
						(A	)	Reported	(Instr. 4)		
						or		Transaction(s) (Instr. 3 and 4)			

Code V

M

M

 $S^{(1)}$ 

Amount

9.314

8,333

17,647 D

(D)

Price

\$

(2)

77.27

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 39.33	09/17/2018		M <u>(1)</u>		9,314	03/03/2017	03/03/2027	Common Stock, \$1 par value	9,314
Stock Option (Right to Buy)	\$ 46.18	09/17/2018		M(1)		8,333	09/01/2017	09/01/2026	Common Stock, \$1 par value	8,333

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Accogli Giuseppe

ONE BAXTER PARKWAY SVP, President, Global Bus.

DEERFIELD, IL 60015

#### **Signatures**

/s/ Ellen K. McIntosh, as attorney in-fact for Giuseppe

Accogli 09/19/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a Rule 10b5-1 trading plan entered into on June 14, 2018 by Mr. Accogli with respect to certain vested stock options granted by Baxter International Inc. ("BII") to Mr. Accogli.

Reporting Owners 2

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Price reflects weighted average sales price. Range of prices for transactions are \$77.12 through \$77.45. Full information regarding the number of shares sold at each separate price will be provided by BII upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.