

NEWPARK RESOURCES INC
Form 3
July 10, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| VOLLANDS PHILLIP T | | (Month/Day/Year) | NEWPARK RESOURCES INC [NR] | |
| (Last) | (First) | (Middle) | 07/01/2017 | |
| 9320 LAKESIDE BOULEVARD, SUITE 100 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| THE WOODLANDS, TX 77381 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Vice President and President | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 48,669 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | | Title | | | |

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| | Date Exercisable | Expiration Date | Amount or Number of Shares | or Indirect (I) (Instr. 5) |
|---|------------------|-----------------|-----------------------------|----------------------------|
| Non-Qualified Stock Option (right to buy) | Â (2) | 05/19/2026 | Common Stock 35,835 \$ 4.32 | D Â |
| Non-Qualified Stock Option (right to buy) | Â (3) | 05/22/2025 | Common Stock 20,425 \$ 9 | D Â |
| Non-Qualified Stock Option (right to buy) | Â (4) | 05/21/2024 | Common Stock 15,258 \$ 11.2 | D Â |
| Restricted Stock Units | Â (5) | Â (6) | Common Stock 66,472 \$ 0 | D Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| VOLLANDS PHILLIP T 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381 | Â | Â | Â Vice President and President | Â |

Signatures

By: Jennifer F. Wilson For: Phillip T.
Vollands 07/10/2017

Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Of the amount reported:(i) 25,000 shares remain unvested under a restricted stock award, and are subject to vest on October 15, 2017 and (ii) includes 6,401 shares acquired through Newpark Resources, Inc.'s Employee Stock Purchase Plan.

(2) The award granted on May 19, 2016 vests at a rate of one-third of the shares annually on June 1, beginning June 1, 2017.

(3) The award granted on May 22, 2015 vests at a rate of one-third of the shares annually on June 1, beginning June 1, 2016.

(4) The award granted on May 21, 2014 vested at a rate of one-third of the shares annually on June 1, beginning June 1, 2015.

The amount reported is an aggregate of the unvested portion of three outstanding awards. (1) A grant of 26,650 restricted stock units vest in increments of one-third of the shares each year - 8,884 shares vested 06/01/2016; 8,883 shares vested 06/01/2017 and 8,883 shares will vest 06/01/2018. (2) A grant of 50,000 restricted stock units vest in increments of 50% of the shares on the second anniversary of the date of grant and 50% of the shares on the fourth anniversary of the date of grant - 25,000 shares vested 05/22/2017 and 25,000 shares will vest 05/22/2019. (3) A grant of 48,884 restricted stock units vest in increments of one-third of the shares each year - 16,295 shares vested 06/01/2017, 16,295 will vest 06/01/2018, and 16,294 shares will vest 06/01/2019.

(6) The restricted stock units vest in increments of one-third of the shares on June 1 of each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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