

Gitterman Arthur G.
Form 4
November 23, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Gitterman Arthur G.

2. Issuer Name and Ticker or Trading Symbol
Nuance Communications, Inc.
[NUAN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE WAYSIDE ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/20/2018

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
SVP & Chief Accounting Officer

BURLINGTON, MA 01803

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/20/2018		A		7,876 (1)	A	\$ 0.001 77,828 D
Common Stock	11/20/2018		A ⁽²⁾		900	A	\$ 0.001 78,728 D
Common Stock	11/20/2018		F ⁽³⁾		265	D	\$ 15.87 78,463 D
Common Stock	11/20/2018		A ⁽²⁾		900	A	\$ 0.001 79,363 D
Common Stock	11/20/2018		F ⁽³⁾		265	D	\$ 15.87 79,098 D

Edgar Filing: Gitterman Arthur G. - Form 4

Common Stock	11/20/2018	<u>A</u> ⁽²⁾	900	A	\$ 0.001	79,998	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	265	D	\$ 15.87	79,733	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	900	A	\$ 0.001	80,633	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	265	D	\$ 15.87	80,368	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	756	A	\$ 0.001	81,124	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	222	D	\$ 15.87	80,902	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	400	A	\$ 0.001	81,302	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	117	D	\$ 15.87	81,185	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	400	A	\$ 0.001	81,585	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	117	D	\$ 15.87	81,468	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	400	A	\$ 0.001	81,868	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	117	D	\$ 15.87	81,751	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	400	A	\$ 0.001	82,151	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	117	D	\$ 15.87	82,034	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	336	A	\$ 0.001	82,370	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	98	D	\$ 15.87	82,272	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	700	A	\$ 0.001	82,972	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	206	D	\$ 15.87	82,766	D
Common Stock	11/20/2018	<u>A</u> ⁽²⁾	700	A	\$ 0.001	83,466	D
Common Stock	11/20/2018	<u>F</u> ⁽³⁾	206	D	\$ 15.87	83,260	D
	11/20/2018	<u>A</u> ⁽²⁾	700	A		83,960	D

Edgar Filing: Gitterman Arthur G. - Form 4

Common Stock						\$			
						0.001			
Common Stock	11/20/2018		F ⁽³⁾	206	D	\$	83,754		D
						15.87			
Common Stock	11/20/2018		A ⁽²⁾	700	A	\$	84,454		D
						0.001			
Common Stock	11/20/2018		F ⁽³⁾	206	D	\$	84,248		D
						15.87			
Common Stock	11/20/2018		A ⁽²⁾	588	A	\$	84,836		D
						0.001			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gitterman Arthur G. ONE WAYSIDE ROAD BURLINGTON, MA 01803			SVP & Chief Accounting Officer	

Signatures

By: /s/ Donna Belanger For: Arthur Gitterman
11/23/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of Restricted Stock Units that will vest 100% on November 30, 2018 issued pursuant to the FY2018 Bonus program.
- (2) These shares are pursuant to a performance-based restricted stock unit agreement with the company whereby targets defined by the Compensation Committee were deemed to be achieved for fiscal year 2018.
- (3) These shares were withheld by the Company to cover the tax liability due upon the vesting of the restricted stock award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.