Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

October 11, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Peltz, Nelson

Triarc Companies, Inc.

280 Park Avenue, 41st Floor

New York, NY 10017

USA

2. Issuer Name and Ticker or Trading Symbol Triarc Companies, Inc.

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

October 10, 2002

- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) (X) Director (X) 10% Owner (X) Officer (give title below) () Other (specify below)

Chairman and Chief Executive Officer

- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

Table I Non-Derivative	Securities A	Acquired, Disposed of,	, or Beneficiall	y Owned	
1. Title of Security	Transactio	4.Securities Acquion or Disposed of	(D) A/	5.Amount of Securities Beneficially Owned at End of Month	
Class A Common Stock	10/10/ P(1)	, , ,	(A) \$22.16	I I	
Class A Common Stock	10/10/ G(1)		(D) 	 	
	I I		I I	23,750 (2)(3)	
	l I			5,982,867 (2)(5)	
			 	26,900 (2)	

Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative |2.Con- |3. |4. |5.Number of De |6.Date Exer|7.Title and Amount |8.P |version |Transaction | rivative Secu |cisable and | of Underlying

|of

Edgar Filing: TRIARC COMPANIES INC - Form 4

or Exe	er	1	rities Acqui	Expiration	Securities	vat
cise		1	red(A) or Dis	Date(Month/		Sec
Price	of	1	posed of(D)	Day/Year)		rit
Deriva	a-	1		Date Expir		1
tive		1	A	/ Exer- ation	Title and Number	1
Secu-		1 1 1	D	cisa- Date	of Shares	1
rity	Date	Code V	Amount	ble		

Explanation of Responses:

- (1) Mr. Peltz together with Peter W. May, the President and Chief Operating Officer of the Issuer, acquired the shares in an open market purchase for the purpose of making a bona fide gift to an unrelated
- person.
- (2) Represents number of shares beneficially owned as of October 10, 2002.
- (3) All such shares are held in the Peltz Family Limited Partnership, an entity in which Mr. Peltz is a General Partner.
- (4) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (5) All such shares are owned by DWG Acquisition Group, L.P., an entity in which Mr. Peltz is a General Partner. SIGNATURE OF REPORTING PERSON Nelson Peltz

Nelson Pelt DATE

October 10, 2002