Edgar Filing: May John C II - Form 4

May John C II Form 4 October 25, 2017 Image: Construction of the public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940 30(h) of the Investment Company Act of 1940 Image: Construction of the public Utility Holding Company Act of 1940 and the Investment Company Act of 1940 Image: Construction of the Public Utility Holding Company Act of 1940												
(Print or Type Responses)												
May John C II Symbol			Ic				Relationship of Reporting Person(s) to ssuer					
(Last)					of Earliest Transaction				(Check all applicable)			
								Director 10% Owner X Officer (give title Other (specify low) below) President, Ag Solutions & CIO				
Filed(Mo				Annth/Day/Year) Ap				Individual or Joint/Group Filing(Check pplicable Line) _ Form filed by One Reporting Person _ Form filed by More than One Reporting				
Person Person												
(City)	(State)	(Zip)					_	red, Disposed of,		y Owned 7. Nature of		
1.Title of Security (Instr. 3)		unsaction Date 2A. Deemed th/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired (A) or TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)		
¢1 D				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
\$1 Par Common Stock (1)	10/23/2017			М	8,128	А	\$ 88.185	37,462	D			
\$1 Par Common Stock <u>(1)</u>	10/23/2017			S	8,128	D	\$ 130.0329 (2)	29,334	D			
\$1 Par Common Stock (1)	10/24/2017			М	10,498	А	\$ 88.185	39,762	D			
\$1 Par Common	10/24/2017			S	10,498	D	\$ 131.3145	29,334 <u>(4)</u>	D			

Stock (1)

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Market Priced Options (1) (5)	\$ 88.185	10/23/2017		М	8,128	<u>(6)</u>	12/10/2024	Common Stock	8,128
Market Priced Options	\$ 88.185	10/24/2017		М	10,498	<u>(6)</u>	12/10/2024	Common Stock	10,498

Reporting Owners

Reporting Owner Name / Address	Relationships							
L O	Director 10% Owner Officer		Officer	Other				
May John C II DEERE & COMPANY ONE JOHN DEERE PLACE MOLINE, IL 61265			President, Ag Solutions & CIO					
Signatures								
/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney 10/25/2017								
***	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Rule 16b-3 options and related sales of shares pursuant to a Rule 10(b)5-1 stock option exercise plan adopted on June 6, 2017.

The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from

(2) \$130.00 to \$130.14, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$131.05 to \$131.59, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Sacurities and Exchange Commission, upon request full information regarding the number of shares sold at each separate price.

- (4) Includes 14,070 restricted stock units granted under the John Deere Omnibus Equity and Incentive Plan to be settled solely in shares.
 (4) Units include the ability to have shares withheld to satisfy income tax obligations.
- (5) All options include the ability to withhold shares upon the exercise of the option to satisfy income tax obligations.
- (6) The options became exercisable in two approximately equal tranches on December 10, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.