## Edgar Filing: Ashooh Nicholas J - Form 4

Ashooh Nichol Form 4	las J										
September 07,	2011										
FORM	4								PPROVAL		
	UNITED	STATES		RITIES A			COMMISSIO	N OMB Number:	3235-0287		
Check this b if no longer subject to Section 16. Form 4 or											
Form 5 obligations may continu <i>See</i> Instruct 1(b).	section 17(	a) of the I	Public U	Itility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940				
(Print or Type Res	sponses)										
1. Name and Address of Reporting Person <u>*</u> Ashooh Nicholas J			Symbol	er Name <b>an</b> A INC [A		Trading	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction				(Check all applicable)				
201 ISABELLA STREET			(Month/) 09/02/2	Day/Year) 2011			Director 10% Owner X Officer (give title Other (specify below) below) Vice President				
DITTODUDCI		endment, D onth/Day/Yea	-	ıl	<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>						
PITTSBURG	H, PA 15212						Person		1 0		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
	Transaction Date Ionth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3, 4)	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Deminder Dara	on a concrete line	for each al	nee of ees	urities have	ficially or	nad directly	or indirectly				
Kenninder: Keport	t on a separate line		ass of sec	unues bene	Perso inform requir	ns who res nation cont red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onof	Expiration Date	Underlying Securities	Derivativ
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Security

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(Instr. 3)	Price of Derivative Security	rivative		(Instr.	. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(			(Instr. 5)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	(1)	09/02/2011		A		132		(2)	(2)	Common Stock	132	\$ 12.10

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
Ashooh Nicholas J 201 ISABELLA STREET PITTSBURGH, PA 15212	Vice President								
Signatures									
Brenda Hart (Assistant Secreta attorney	ry), by po	ower of	09/07/2011						
<u>**</u> Signature of Reporting	Date								

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 For 1

Phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash after termination of

(2) employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.