

Edgar Filing: COOPER COMPANIES INC - Form 4

COOPER COMPANIES INC

Form 4

November 19, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Michael H. Kalkstein  
 Oppenheimer, Wolff & Donnelly, LLP  
 3373 Hillview Avenue, Suite 200  
 CA, Palo Alto 94304
2. Issuer Name and Ticker or Trading Symbol  
 The Cooper Companies, Inc. (COO)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year  
 11/15/2002
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans-<br>action<br>Date | 2A. Exec-<br>ution<br>Date | 3. Trans-<br>action<br>Code | 4. Securities Acquired (A)<br>or Disposed of (D)<br>Amount | A/<br>D | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported Trans(s) | Price |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|--|-------|
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|--|-------|

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of<br>Derivative<br>Security | 2. Con-<br>version<br>or Exer-<br>cise<br>Price of<br>Deriva-<br>tive<br>Secu-<br>rity | 3. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A. Deemed<br>Execu-<br>tion<br>Date<br>(Month/<br>Day/<br>Year) | 4. Trans-<br>action<br>Code | 5. Number of De<br>rivative Secu<br>rities Acqui<br>red(A) or Dis<br>posed of(D)<br>Amount | 6. Date Exer-<br>cisable and<br>Expiration<br>Date<br>(Month/<br>Day/<br>Year)<br>A/<br>D<br>Exer-<br>cisa-<br>ble | 7. Title and Amount<br>of Underlying<br>Securities<br>Title and Number<br>of Shares | 8. P<br>of<br>vat<br>Sec<br>rit |
|---------------------------------------|--|---|--|-----------------------------|--|--|---|---------------------------------|
|---------------------------------------|--|---|--|-----------------------------|--|--|---|---------------------------------|

|  |    |            |  |   |      |     |            |              |      |
|--|----|------------|--|---|------|-----|------------|--------------|------|
| Restricted Stock Option (Right to Buy) | 10 | 11/15/2002 |  | A | 1000 | A 1 | 01/15/2003 | Common Stock | 1000 |
|--|----|------------|--|---|------|-----|------------|--------------|------|

Explanation of Responses:

1. Restrictions will be removed upon the earlier to occur of 1) the average closing prices during any 30 consecutive trading days after 11/15/02 reaches \$63.46 or 2) November 15, 2007.

SIGNATURE OF REPORTING PERSON

Michael H. Kalkstein

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