#### Edgar Filing: WEBSTER FINANCIAL CORP - Form 5

#### WEBSTER FINANCIAL CORP

Form 5

February 10, 2016

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SAVAGE JOSEPH J Symbol WEBSTER FINANCIAL CORP (Check all applicable) [WBS] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X \_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2015 Executive Vice Chairman C/O WEBSTER FINANCIAL CORP, 145 BANK STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) WATERBURY, CTÂ 06702 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 4. Securities Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) (D) Price Amount Common Â Â Â Â Â Â Â 54,237 D Stock Common 401(k) Â Â Â Â Â Â 9,494 (1) Ι Stock Plan Common Â Â Â Â Â Â Ι **By ESPP** 3,120 Stock

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivati Securitic Acquire (A) or Dispose of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A) (D	Date Exercisabl	e Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 48.88	Â	Â	Â	Â	12/19/2007 <u>(2</u>	2 12/19/2016	Common Stock	10,079
Stock Option	\$ 32.03	Â	Â	Â	Â	12/18/2008(2	2 12/18/2017	Common Stock	16,601
Stock Option	\$ 23.81	Â	Â	Â	Â	02/22/2013(3	02/22/2022	Common Stock	21,335
Stock Option	\$ 23	Â	Â	Â	Â	02/20/2014(3	02/20/2023	Common Stock	23,795

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 8	Director	10% Owner	Officer	Other		
SAVAGE JOSEPH J C/O WEBSTER FINANCIAL CORP 145 BANK STREET WATERBURY, CT 06702	Â	Â	Executive Vice Chairman	Â		

## **Signatures**

Renee P. Seefried by Power of Attorney 02/10/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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Between November 1, 2015 and December 31, 2015, the reporting person acquired 55 shares of Webster Financial Corp common stock under the Webster 401(k)/ESOP.

- (2) 4 yr. incremental vesting 25% vests each year for 4 years.
- (3) 3 yr. incremental vesting 33-1/3% vests each year for 3 years.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.