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LATTICE SEMICONDUCTOR CORP

Form 4

August 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

response... 0.5

OMB APPROVAL

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Billerbeck Darin G

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LATTICE SEMICONDUCTOR

CORP [LSCC]

(Check all applicable)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

_X__ Director 10% Owner X_ Officer (give title Other (specify

5555 N.E. MOORE CT. 08/11/2016 below)

President & CEO

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HILLSBORO, OR 97124

(Ctata)

| (State) | Zip) Table | e I - Non-D | erivative : | Securi | ities Acq | puired, Disposed o | of, or Beneficial | lly Owned |
|---------------------|--|--|--|--|--|--|--|---|
| 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ities A | cquired | 5. Amount of | 6. Ownership | 7. Nature of |
| (Month/Day/Year) | Execution Date, if | Transactio | on(A) or D | ispose | d of | Securities | Form: Direct | Indirect |
| | any | Code | (D) | | Beneficially | (D) or | Beneficial | |
| | (Month/Day/Year) | (Instr. 8) | (Instr. 3, | 4 and | 5) | Owned | Indirect (I) | Ownership |
| | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | (4) | | Reported | | |
| | | | | | | Transaction(s) | | |
| | | Code V | Amount | | Price | (Instr. 3 and 4) | | |
| 00/11/2016 | | М | (1() | | \$0 | 275 772 | Ъ | |
| 08/11/2016 | | M | 0,102 | Α | <u>(1)</u> | 313,112 | D | |
| 08/11/2016 | | F(2) | 2,240 | D | \$ 5.75 | 373,532 | D | |
| | 2. Transaction Date (Month/Day/Year) 08/11/2016 | 2. Transaction Date (Month/Day/Year) 2. Transaction Date (An Deemed Execution Date, if any (Month/Day/Year) 08/11/2016 | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Code (Month/Day/Year) (Month/Day/Year) (Instr. 8) Code V 08/11/2016 M | 2. Transaction Date 2A. Deemed 3. 4. Securi (Month/Day/Year) Execution Date, if any Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, Code V Amount 08/11/2016 M 6,162 | 2. Transaction Date (Month/Day/Year) Execution Date, if any Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and Code V Amount (D) (08/11/2016) M 6,162 A | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Code (D) (Instr. 3, 4 and 5) Code V Amount (D) Price M 6,162 A \$0 (1) (1) \$0 | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3 and 4) Code V Amount (D) Price (Instr. 3 and 4) 08/11/2016 M 6,162 A \$0 (1) (Instr. 3 and 4) | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3 and 4) Code V Amount (D) Price O8/11/2016 A Securities Acquired, Disposed of Securities Form: Direct Beneficially (D) or Indirect (I) Following Reported Transaction(s) (Instr. 3 and 4) O8/11/2016 O8/11/2016 A Somethical Securities Acquired, Disposed of Securities Form: Direct Beneficially (D) or Indirect (I) Following (Instr. 4) O8/11/2016 O8/11/2016 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number owf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--|------------------|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Unit (RSU) | \$ 0 (3) | 08/11/2016 | | M | 6,162 | 02/11/2015(4) | (5) | Common Stock | 6,162 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|----------------------------|-----------------|-------|--|--|--|
| Reporting Owner Frame / Francess | Director | Director 10% Owner Officer | | Other | | | |
| Billerbeck Darin G 5555 N.E. MOORE CT. HILLSBORO, OR 97124 | X | | President & CEO | | | | |

Signatures

By: Byron W. Milstead, Attorney-in-Fact For: Darin G. Billerbeck

**Signature of Reporting Person

Date

08/12/2016

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units no purchase price for this transaction.
- These shares were retained by the Issuer in order to meet the tax withholding obligations of the Reporting Person in connection with the vesting of an installment of the restricted stock units. The amount retained by the Issuer was not in excess of the amount of the tax liability.
- (3) Each Restricted Stock Unit (RSU) represents a right to receive one share of the Issuers common shares without payment of specific consideration.
- (4) This grant of Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest upon the one-year anniversary of the grant date and an additional 6.25% of the RSUs vest each three months thereafter until 100% of the RSU grant is vested.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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