Amphastar Pharmaceuticals, Inc.

Form 4

March 21, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

**OMB APPROVAL** 

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* PETERS WILLIAM J

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

Amphastar Pharmaceuticals, Inc.

(Check all applicable)

[AMPH]

(Last)

Common

Stock

(Middle)

3. Date of Earliest Transaction

Director X\_ Officer (give title

10% Owner Other (specify

C/O AMPHASTAR PHARMACEUTICALS, INC., 11570 6TH STREET

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Transaction(s)

(Instr. 3 and 4)

Applicable Line)

CFO, SVP & Treasurer

Filed(Month/Day/Year)

(Month/Day/Year)

03/17/2016

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**RANCHO** CUCAMONGA, CA 91730

> (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

03/17/2016

Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

(A) or Amount (D) Price

Code V

39,717 \$0 D 72,452 A (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                      |
|---|---|---|---|--|--|-----|--|--------------------|---|----------------------|
|   |   |   |   | Code V                                 | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount Number Shares |
| Employee<br>Stock<br>Option<br>(right to            | \$ 11.33  | 03/17/2016                              |   | A                                      | 119,201  |     | <u>(2)</u>   | 03/17/2026         | Common<br>Stock   | 119,20               |

## **Reporting Owners**

| Reporting Owner Name / Address      | Relationships |           |             |       |  |  |
|-------------------------------------|---------------|-----------|-------------|-------|--|--|
|                                     | Director      | 10% Owner | Officer     | Other |  |  |
| PETERS WILLIAM J                    |               |           |             |       |  |  |
| C/O AMPHASTAR PHARMACEUTICALS, INC. |               |           | CFO, SVP    |       |  |  |
| 11570 6TH STREET                    |               |           | & Treasurer |       |  |  |
| RANCHO CUCAMONGA, CA 91730          |               |           |             |       |  |  |

# **Signatures**

buy)

/s/ Ken Stupak, by power of attorney 03/21/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported shares are represented by deferred stock units, or DSUs, which vest in four equal annual installments beginning on March 17, 2017.
- (2) Shares subject to the option vest in four equal annual installments beginning on March 17, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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