**SURMODICS INC** 

Form 4 July 26, 2006

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **KOCH DAVID A** 

2. Issuer Name and Ticker or Trading Symbol

SURMODICS INC [SRDX]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

505 N. HIGHWAY 169, SUITE 595

(First)

07/25/2006

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### PLYMOUTH, MN 55441

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	07/25/2006		S	10,112	D	\$ 36	760,183	D	
Common Stock	07/25/2006		S	1,800	D	\$ 36.01	758,383	D	
Common Stock	07/25/2006		S	1,600	D	\$ 36.02	756,783	D	
Common Stock	07/25/2006		S	600	D	\$ 36.03	756,183	D	
Common Stock	07/25/2006		S	646	D	\$ 36.04	755,537	D	
	07/25/2006		S	254	D		755,283	D	

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Common Stock					\$ 36.05		
Common Stock	07/25/2006	S	100	D	\$ 36.09	754,183	D
Common Stock	07/25/2006	S	200	D	\$ 36.12	754,983	D
Common Stock	07/25/2006	S	100	D	\$ 36.15	754,883	D
Common Stock	07/25/2006	S	200	D	\$ 36.16	754,683	D
Common Stock	07/25/2006	S	600	D	\$ 36.5	754,083	D
Common Stock	07/25/2006	S	186	D	\$ 36.55	753,897	D
Common Stock	07/25/2006	S	302	D	\$ 36.56	753,295	D
Common Stock	07/25/2006	S	400	D	\$ 36.57	753,195	D
Common Stock	07/25/2006	S	100	D	\$ 36.58	753,095	D
Common Stock	07/25/2006	S	295	D	\$ 36.7	752,800	D
Common Stock	07/25/2006	S	83	D	\$ 36.73	752,717	D
Common Stock	07/25/2006	S	400	D	\$ 36.76	752,317	D
Common Stock	07/25/2006	S	100	D	\$ 36.78	752,217	D
Common Stock	07/25/2006	S	217	D	\$ 36.83	752,000	D
Common Stock	07/25/2006	S	100	D	\$ 36.84	751,900	D
Common Stock	07/25/2006	S	316	D	\$ 36.88	751,584	D
Common Stock	07/25/2006	S	100	D	\$ 36.94	751,484	D
Common Stock	07/25/2006	S	501	D	\$ 36.95	750,983	D
Common Stock	07/25/2006	S	100	D	\$ 36.99	750,883	D
	07/25/2006	S	300	D	\$ 37	750,583	D

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Common Stock							
Common Stock	07/25/2006	S	400	D	\$ 37.01	750,183	D
Common Stock	07/25/2006	S	503	D	\$ 37.06	749,680	D
Common Stock	07/25/2006	S	97			749,583	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 6.563					<u>(1)</u>	05/17/2009	Common Stock	9,000
Director Stock Option (Right to Buy)	\$ 25.094					(2)	09/18/2010	Common Stock	2,000
Director Stock Option (Right to Buy)	\$ 34.85					(3)	11/21/2011	Common Stock	1,000

8. Price Derivat Securit (Instr. 5

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Director Stock Option (Right to Buy)	\$ 29.17	<u>(4)</u>	03/17/2013	Common Stock	2,000
Director Stock Option (Right to Buy)	\$ 21.82	<u>(5)</u>	05/17/2014	Common Stock	5,000
Director Stock Option (Right to Buy)	\$ 39.13	<u>(6)</u>	11/14/2015	Common Stock	5,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KOCH DAVID A 505 N. HIGHWAY 169 SUITE 595 PLYMOUTH, MN 55441	X						

### **Signatures**

/s/ David A.
Koch

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in annual increments of 1,800 shares each commencing 5/17/99.
- (2) Exercisable in annual increments of 400 shares each commencing 9/18/00.
- (3) Exercisable in annual increments of 200 shares each commencing 11/21/01.
- (4) Exercisable in annual increments of 400 shares each commencing 3/17/03.
- (5) Exercisable in annual increments of 1,000 shares each commencing 5/17/04.
- (6) Exercisable in annual increments of 1,000 shares each commencing 11/14/05.

#### **Remarks:**

Form one of two form filed for Table I transactions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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