UNITY BANCORP INC /NJ/

Form 4 May 15, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

SECURITIES

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

Form 5

obligations

Number:

3235-0287

January 31, Expires:

2005 Estimated average

OMB APPROVAL

burden hours per response...

0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *

Bolomey Janice

2. Issuer Name and Ticker or Trading

Symbol

UNITY BANCORP INC /NJ/

[UNTY]

(Last) (First) (Middle) 3. Date of Earliest Transaction

05/11/2017

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Month/Day/Year)

X_ Officer (give title

Director

10% Owner Other (specify

below) EVP/CAO

UNITY BANK, 64 OLD HIGHWAY 22

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

CLINTON, NJ 08809

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Zip)

(State)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

 $25,139^{(1)}$

Common

Stock

(Instr. 3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Stock Options	\$ 6.65 (2)	05/11/2017		M	578 (3)	02/21/2009(2)	02/21/2018(2)	Common Stock	578
Stock Options	\$ 3.99 (2)	05/11/2017		M	3,460 (3)	12/08/2009(2)	12/08/2018(2)	Common Stock	3,46
Stock Options	\$ 3.99 (2)	05/12/2017		M	2,040 (3)	12/08/2009(2)	12/08/2018(2)	Common Stock	2,04
Stock Options	\$ 3.62 (2)	05/12/2017		M	4,703 (3)	12/10/2010(2)	12/10/2019(2)	Common Stock	4,70

Reporting Owners

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Bolomey Janice						
UNITY BANK			EVP/CAO			
64 OLD HIGHWAY 22			EVP/CAU			
CLINTON, NJ 08809						

Signatures

Janice Bolomey, POA Laurie Cook, Controller/SVP 05/15/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 9,300 shares are unvested stock; and 15,839 shares are held in an account at Computershare
- 578 stock options were granted on 2/21/08, which vested one-third per year commencing 2/21/09; 2/21/10 and 2/21/11 at \$6.65 per share that expire on 2/21/18. 5,500 stock options were granted on 12/8/08, which vested one-third per year commencing 12/8/09; 12/8/10 and 12/8/11 at \$3.99 per share that expire on 12/8/18. 5,500 stock options were granted on 12/10/2009, which vested one-third per year commencing 12/10/10; 12/10/11 and 12/10/12 at \$3.62 per share that expire on 12/10/19.
- (3) 578 shares @ \$6.65, 5,500 shares @ \$3.99 and 4,703 shares @ \$3.62
- (4) 76,797 stock options were granted of which 55,797 are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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