Courtright Wayne Form 4 March 13, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

10% Owner

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Courtright Wayne

2. Issuer Name and Ticker or Trading

Symbol

UNITY BANCORP INC /NJ/

[UNTY]

3. Date of Earliest Transaction (Month/Day/Year) 03/09/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O UNITY BANK, 64 OLD HIGHWAY 22

(Street)

(State)

(First)

(Middle)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**CLINTON, NJ 08809** 

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acqui	ired, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/09/2018		Code V S	Amount 4,027 (1)	(D)	Price \$ 21.805	98,596 (2)	D	
Restricted Stock							3,490 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. Pri Deriv Secur (Instr

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	ection (8)	5. inNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 19.75						01/02/2019	01/02/2028	Common Stock	18,000 (4)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Troporting O William Community	Director	10% Owner	Officer	Other			
Courtright Wayne C/O UNITY BANK 64 OLD HIGHWAY 22 CLINTON, NJ 08809	X						

## **Signatures**

Wayne Courtright, POA Laurie Cook, Controller/SVP

03/12/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Courtright sold 4,027 shares @ \$21.805 per share.
- (2) 19,995 shares are currently held in an account at Computershare, and 78,601 shares are held in a brokerage account.
- (3) 3,490 restricted shares are held in an account at Computershare, which have upcoming vesting dates.
- (4) 18,000 stock options were granted to Mr. Courtright of which 12,267 are currently exercisable.

#### **Remarks:**

Total Beneficial Ownership: 114,353

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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