DALLAS DAVID D

Form 4

January 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: January 31,

OMB APPROVAL

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DALLAS DAVID D	2. Issuer Name and Ticker or Trading Symbol UNITY BANCORP INC /NJ/ [UNTY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
(Last) (First) (Middle) C/O UNITY BANCORP INC, 64 OLD HIGHWAY 22	3. Date of Earliest Transaction (Month/Day/Year) 01/02/2019	_X_ Director _X_ 10% Owner Officer (give title _X_ Other (specify below) Chairman of the Board
(Street) CLINTON, NJ 08809	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(6:1)		

(C:t)	(64-4-)	(7:)	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities or(A) or Dispo (Instr. 3, 4 a)	osed of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	C	A) or D) Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Restricted Stock	01/02/2019		A	900 (1) A	\$ 20.76	3,657 (2)	D	
Common Stock						16,340 <u>(3)</u>	D	
Common Stock						1,709,074 (4)	I	Dallas Financial Holdings

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

LLC

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)			5. orNumber	6. Date Exerc Expiration D	ate	7. Title and 2. Underlying S	Securities	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	(Instr. 3 and	4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ					(Instr. 5)
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3, 4, and 5)					
					4, and 3)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date	Title	Number	
						Exercisaeic	Dute		of	
				Code V	(A) (D)				Shares	
Stock Option	<u>(5)</u>					<u>(5)</u>	(5)	Common Stock	<u>(5)</u>	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DALLAS DAVID D C/O UNITY BANCORP INC 64 OLD HIGHWAY 22 CLINTON, NJ 08809	X	X		Chairman of the Board		

Signatures

David D. Dallas, poa Laurie Cook, Controller/SVP 01/04/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 900 restricted shares were granted on 1/2/19 from the 2013 Stock Bonus Plan and vest over four years; vesting commences with 225 shares on 1/2/20, 225 shares on 1/2/21, 225 shares on 1/2/22, and 225 shares on 1/2/23.
- (2) 3,155 shares are held in an account at Computershare, which have upcoming vesting dates. 502 shares are dividend reinvested shares for a total of 3,657.

(3) 16,340 shares are held in Mr. Dallas' name in an account at Shareworks.

Reporting Owners 2

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- (4) 173,764 shares are held in an account at Computershare; 1,535,310 shares are held in a brokerage account.
- (5) 8,733 stock options were granted under various dates and vesting periods of which 1,600 are currently exercisable.

Remarks:

Total Beneficial Ownership: 1,730,671

Mr. Dallas receives additional shares on a quarterly basis through his dividend reinvestment plan.

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