

LEVIN CAPITAL STRATEGIES, L.P.

Form 4

October 26, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LEVIN CAPITAL STRATEGIES, L.P.

(Last) (First) (Middle)

595 MADISON AVENUE, 17TH FLOOR

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
FLUIDIGM CORP [FLDM]

3. Date of Earliest Transaction (Month/Day/Year)  
10/25/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_X\_\_\_ Other (specify below)  
Passive Investor

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock <sup>(1)</sup> <sub>(2)</sub>	10/25/2018		P	7,363 A	\$ 7.211 <sub>(10)</sub>	11,334,743 I	By: Managed Accounts of Levin Capital Strategies, L.P. <sup>(3)</sup> <sub>(4)</sub>
Common Stock <sup>(1)</sup> <sub>(2)</sub>	10/26/2018		P	2,599 A	\$ 7.1875 <sub>(11)</sub>	11,337,342 I	By: Managed Accounts of Levin Capital Strategies, L.P. <sup>(3)</sup> <sub>(4)</sub>
Common Stock <sup>(1)</sup>						95,606 I	By: Bi-Directional

<u>(2)</u>						Disequilibrium Fund, L.P. <u>(6)</u>
Common Stock <u>(1)</u> <u>(2)</u>		1,305,269	I			By: Transamerica Large Cap Value Fund <u>(5)</u>
Common Stock <u>(1)</u> <u>(2)</u>		56,820	I			By: Levcap Alternative Fund, L.P. <u>(7)</u>
Common Stock <u>(1)</u> <u>(2)</u>		19,818	I			By: Safinia Partners, L.P. <u>(8)</u>
Common Stock <u>(1)</u> <u>(2)</u>		5,000	I			By: Spouse of John A. Levin <u>(9)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LEVIN CAPITAL STRATEGIES, L.P. 595 MADISON AVENUE 17TH FLOOR		X		Passive Investor

NEW YORK, NY 10022

Levin Capital Strategies GP, LLC

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10022

Bi-Directional Disequilibrium Fund, L.P.

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10022

Levcap Alternative Fund, L.P.

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10022

LCS Event Partners, LLC

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10022

Safinia Partners, L.P.

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10022

LCS L/S, LLC

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10022

LEVIN JOHN A

595 MADISON AVENUE

17TH FLOOR

X

Passive Investor

NEW YORK, NY 10020

## Signatures

Levin Capital Strategies, L.P.; By: /s/ John A. Levin, Chief Executive Officer

10/26/2018

\_\_Signature of Reporting Person

Date

Levin Capital Strategies GP, LLC; By: John A. Levin 2005 GRAT Separation Trust; By: /s/ Elisabeth Levin, Trustee

10/26/2018

\_\_Signature of Reporting Person

Date

Bi-Directional Disequilibrium Fund, L.P.; By: LCS; By: /s/ John A. Levin, Managing Member

10/26/2018

\_\_Signature of Reporting Person

Date

Levcap Alternative Fund, L.P.; By: LCS Event Partners, LLC; By: /s/ John A. Levin, Managing Member

10/26/2018

\_\_Signature of Reporting Person

Date

LCS Event Partners, LLC; By: /s/ John A. Levin, Managing Member

10/26/2018

\_\_Signature of Reporting Person

Date



## Edgar Filing: LEVIN CAPITAL STRATEGIES, L.P. - Form 4

- (11) The price reported is a weighted average price. The reported shares were purchased in multiple transactions at prices ranging from \$7.18 to \$7.19. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote 11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.