#### HONEYWELL INTERNATIONAL INC

Form 4

August 02, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Szlosek Thomas A

(Last)

(City)

1. Title of

Security

(Instr. 3)

(First) (Middle)

(Zip)

Execution Date, if

2. Transaction Date 2A. Deemed

115 TABOR ROAD

(Street)

MORRIS PLAINS, NJ 07950

(State)

(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

HONEYWELL INTERNATIONAL INC [HON]

3. Date of Earliest Transaction

(Month/Day/Year) 07/29/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities 5. Amount of

Code Disposed of (D) (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or (Instr. 3, 4 and 5)

Following Reported (A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Senior VP & CFO

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

Issuer

below)

Person

Securities

Owned

Beneficially

Director

Applicable Line)

X\_ Officer (give title

Estimated average

burden hours per

7. Title and Amo Underlying Secu (Instr. 3 and 4)

### Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 4

Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Code V (A) (D) Date **Expiration Title** Aı Exercisable Date or Nı of

(Month/Day/Year) (Instr. 8) Acquired (A)

Performance-Based

Common Restricted Stock <u>(1)</u> 07/29/2016 Α 20,000 (2) (2) Stock

Units

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Price of

Director 10% Owner Officer Other

Szlosek Thomas A

Senior VP & CFO 115 TABOR ROAD

MORRIS PLAINS, NJ 07950

# **Signatures**

Jeffrey N. Neuman for Thomas A. 08/02/2016 Szlosek

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Instrument converts to common stock on a one-for-one-basis.

The Performance-Based Restricted Stock Units were granted under the 2016 Stock Incentive Plan of Honeywell International Inc. and its Affiliates and will vest in three installments on each of July 31, 2019, July 31, 2021 and July 31, 2023. The Restricted Stock Units are **(2)** subject to 100% adjustment, either up or down, based on Honeywell's 3-year (August 1, 2016 - July 31, 2019) cumulative Total Shareholder Return (TSR) relative to its compensation peer group.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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